

Via Federal Express

USDC of Michigan Attn: Andrea Teets 231 W. Lafayette Blvd. Detroit, Michigan 48226

RE: MDL-926

Dear Andrea:

Enclosed please find for filing one (1) original and two (2) copies of PLAINTIFFS' MOTION FOR EXPEDITED CONSIDERATION FOR TOLLING OF DISEASE DEFICIENCIES AND REQUEST FOR SIX MONTH EXTESION FOR CURING PAST AND FUTURE DISEASE DEFICIENCIES. Please file stamp and return one copy in the pre-paid, self-addressed Federal Express envelope that I have included for your use.

By copy of this letter, I have served the 3 persons listed as the representatives of the official committees under the Amended Joint Plan of Reorganization of Dow Corning Corporation, 1) the Claimants' Advisory Committee, 2) the Debtor's Representatives, and 3) the Finance Committee as established in the Order dated May 23, 2005 by the Honorable Denise Page Hood via electronic mail. Should you have any questions, please feel free to contact me at 843-216-9394.

With kind regards, I am

Sincerely yours,

Senior Claims Administrator

Enclosure(s)

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MICHIGAN SOUTH DIVISION

IN RE:)	CASE NO. 00-CV-00005-DT
)	(Settlement Facility Matters)
DOW CORNING CORPORATION,)	,
)	
REORGANIZED DOBTOR)	Hon. Denise Page Hood

PLAINTIFFS' MOTION FOR EXPEDITED CONSIDERATION FOR TOLLING OF DISEASE DEFICIENCIES AND REQUEST FOR SIX MONTH EXTESION FOR CURING PAST AND FUTURE DISEASE DEFICIENCIES

TO: THE HONORABLE DENISE PAGE HOOD:

COMES NOW PLAINTIFFS, THROUGH PLAINTIFFS' COUNSEL Rhett D. Klok, Esquire, Motley Rice LLC, who respectfully submits this motion and requests that this Court use its inherent powers and authority as the Judge supervising the implementation of the Amended Joint Plaintiff Reorganization of Dow Corning Corporation ("Joint Plan") to order:

- 1. Disclosure of substantive criteria created, adopted and/or being applied by the Claims Administrator for the Settlement Facility;
- 2. Requiring a precise definition of a Qualified Medical Doctor (QMD) which is currently not defined in Annex A, <u>Dow Corning Corporation</u>, <u>Joint Plan of Reorganization</u>;
- 3. Requiring disclosure of the existence of any and all lists and their contents maintained by the Settlement Facility containing names of physicians not qualified to render disability statements;

- 4. For an order providing a six-month extension for curing all past and present deficiencies.
- 5. For an order providing deficient claimants to be put on Administrative "Hold" and/or a six-month extension for curing deficient claims

Because claims processing is ongoing and cure deadlines have begun to run for some claimants affected by the outcome of this motion, Plaintiffs respectfully request the Court to expedite consideration of this motion and for other equitable relief as detailed herein.

FACTUAL BACKGROUND

A. 1994 Global Settlement disease criteria

In 1994, a "global" settlement was reached on breast implant claims between various U.S. manufacturers of breast implants and suppliers of material and the Plaintiffs' Steering Committee ("PSC") in MDL-926. The settlement included carefully crafted and specific criteria for disease claims and required all claimants who wished to be a "Current Disease Claimant" submit a detailed disease claim by September 1994. The disease criteria were the result of lengthy, protracted negotiations where each symptom and criteria to qualify was exhaustively scrutinized before the various entities finally reached agreement. In addition to meeting disease criteria, a claimant must also document that she has a disability-based either on the severity of her disease or on her functional capacity to perform activities of vocation, avocation and/or self-care. The diseases that use the functional capacity test provide for three levels of disability, Levels A, B, and C. See Exhibit 1 attached hereto, excerpt from the global settlement disease criteria.

B. 1995 disease criteria remain unchanged

In 1995, when the global settlement was renegotiated, a cornerstone of the Revised Settlement Program was the disease and disability criteria in the global settlement would remain unchanged. Thus, the global settlement disease and disability criteria was adopted wholesale and designed as the "Fixed Benefit Amount Schedule" available to Current Disease Claimants. See Exhibit 2 attached hereto, excerpt from Revised Settlement Program Notice, 1996. The primary reasons for adopting the global settlement disease criteria were twofold: 1) to allow for prompt processing and payment of pending disease claims and 2) to ensure those claimants who relied on the global disease criteria would not incur additional expense or delay to be re-evaluated with new criteria. Processing of the Current Disease claims were submitted in late 1994 began in January 1996 and was largely completed by the third quarter of 1997.

C. Appeals decisions criteria not disclosed

Claimants who wished to appeal the results of their individual claim review could do so to the MDL Claims Administrator and then to the MDL Court. <u>Id.</u> at Paragraph 34. As noted in Paragraph 34 of the Revised Settlement Program Notice, the appeal was limited to individual claim reviews and therefore, neither the PSC nor defendants were provided notice or information about individual claim decisions. Paragraph 34 did not contemplate the individual claim review process would result in global interpretations of substantive criteria without the parties' knowledge or participation. Paragraph 34 provides that:

34. Court Review of Claims Office Determination.

A claimant dissatisfied with the decision made by Claims Officers may appeal to the Claims Administrator and, if still dissatisfied, may seek a further review, on the basis of the record evidence, by the Court (or a

person designated by the Court to conduct such review). No other appeals or reviews are permitted, and the settling defendants will have no right of appeal or review from determination made by the Claims Office.

These appeals were handled by Judge Pointer until May 13, 1999 when Judge Pointer designated the Honorable Frank Andrews to serve as the appeals judge. See Exhibit 3 attached hereto, copy of Order 27L in MDL-926 ("Judge Andrews may exercise the same degree of equitable discretion on such matters as timeliness of filings and other similar administrative questions as has been exercised by the court in conducting such review.")

Decisions of the appeals judge were not made publicly available. To date, these decisions are not publicly available to anyone.

In the Dow Corning bankruptcy proceedings, the Tort Claimants' Committee ("TCC") and Dow Corning reached an agreement in 1998 on a plan of reorganization and, as part of the agreement, the disease and disability definitions in the Revised Settlement Program were adopted wholesale. See Exhibit 4 attached hereto, Option 1 Disease Schedule in Annex A, the Claims Resolution Procedures. Like the RSP, a guiding principle in the Joint Plan is claimants can rely on their 1994 disease submission and global disease criteria without the need for further delay or expense in being re-evaluated. Subsequently, the Plan Proponents and Claims Administrator developed claim forms and a Disease Claimant Information Guide with extensive Q&A's on Plan criteria which were

¹ Question 3-5 in the Disease Claimant Information Guide asks:

Q: Can I rely on the medical records that I sent to the MDL Claims Office in Houston years ago, or do I have to resend these documents to the Settlement Facility

A: You can rely on the medical records that you submitted to the MDL Claims Office in Houston, Texas. You do not have to re-submit any records.

mailed in February 2003. The Q&A's were adopted verbatim from the RSP's Q&A Booklet and materials. See Exhibit 5 attached hereto, excerpts from Class 5 Disease Claimant Information Guide.

In the Claims Resolution Procedures, claimants in the Settlement Option were provided with the same right to appeal claim review decisions as afforded in the RSP. See Section 8.03, 8.04, and 8.05. As noted in Section 8.05, Appeals to the Appeals Judge, "An appeal that involves a new interpretation of the substantive eligibility criteria must be submitted to the Debtor's Representatives and the Claimants' Advisory Committee consistent with Section 5.05 of the Settlement Facility Agreement."

D. Plaintiffs take exhaustive steps to properly cure deficiencies

During the third quarter of 2004, the Settlement Facility began sending the Notification of Status Letters to claimants to identify their deficiencies with the disease claims. The disability criteria being applied by the Settlement Facility was more difficult than applied by the RSP Claims Office. The deficiency notice included a page that restated the Plan's disability criteria, and on that page the SF-DCT used language that stated claimants must document functional capacity for vocation only or for self-care only. The deficiency notice included a page that restated the Plan's disability criteria, and on that page the SF-DCT used language that stated claimants must document functional capacity for both vocation and self-care for disability level A claims. Exhibit 6 attached hereto, redacted Notification of Status letter dated June 1, 2004 with disability criteria that is different from the criteria in the Plan and Claimant Information Guides. The

language in the Notification of status letters contradicts the Plan language and Claimant Information Guide that provides functional capacity must affect vocation or self-care.

On October 18, 2004, the Claims Administrator provided the CAC and Debtor's Representatives with a copy of an un-redacted individual claimant appeals decision entered by Judge Pointer dated September 30, 1997 was ordered, there was a series of correspondence between the then-MDL Claims Administrator and Judge Pointer and possibly one or more decisions from Judge Andrews further clarified, amended or purportedly modified the September 30, 1997 order. The CAC requested to be provided with this supplemental correspondence and appeals judge decisions and posed specific questions as to what the substantive criteria is that is being applied by the SF-DCT. See Exhibit 7 attached hereto, E-mail dated November 23, 2004 from D. Pendleton-Dominguez to W. Trachte-Huber and others. The Claims Administrator responded the answers to the questions were part of MDL-926 annotations and questioned whether she was authorized to disclose the annotations. See Exhibit 8 attached hereto, E-mail dated November 24, 2004 from W. Trachte-Huber to D. Pendleton-Dominguez. The CAC responded that substantive criteria should not be considered "confidential annotations" that remain secret and hidden from claimants only to be disclosed for the first time when claimants receive a deficiency notice.

However, the SF-DCT did not spell out exactly what the deficiencies are in the Notification of Status letter. On June 14, 2004, a claimant received a

Notification of Status letter stating her disease level was not approved. See Exhibit 9 attached hereto, redacted Notification of Statues letter dated June 14, 2004. On December 16, 2004, a QMD was submitted to the SF-DCT to cure the deficiency. See Exhibit 10 attached hereto, letter to SF-DCT with QMD submission dated December 16, 2004. On January 12, 2005, a second Notification of Status letter was received stating:

Missing Records:

All Documents referred to by the QMD as having been used to make a disability determination must be submitted.

We acknowledge receipt of the updated disability determination dated 2004-12-7 from [sic] Dr. Brian Dantzler. However, we are unable to accept this statement as a disability determination because supplemental statements must include copies of current treating physician records or a copy of an examination performed during the same time period as the statement was written.

Per Annex-A, Section 7.01(c), to ensure an acceptable level of reliability and quality control of Claims, supplemental statements submitted must include copies of current treating physician records or a copy of an examination performed by a qualified medical doctor (QMD) as defined in Annex A, Schedule II, Part A during the same time period as the statement was written.

Annex A, Section 7.01(c), says that, "As specified in the Settlement Facility Agreement, the Claims Administrator shall institute procedures to assure consistency of processing and of application of criteria in determining eligibility and to ensure fairness in processing of Claims and appeals and to ensure an acceptable level of responsibility and quality control of Claims." See Exhibit 11 attached hereto, Annex A, page 39, Section 7.01(c).

On September 10, 2004, I received a Notification of Status letter for my client. The deficiency stated that, "Dr. (redacted) on 1994-09-06 assigned or described a Level B Disability. However, the Notification of Status letter stated that you need to submit adequate documentation about your daily life and limitations in the following to confirm this level: performing your usual activities of vocation, avocation, and self-care or adequate documentation that you have regular or recurring severe pain when performing these activities." See Exhibit 12 attached hereto, redacted Notification of Status letter dated September 10, 2004. On December 16, 2004, a Supplemental Disease Review Form (redacted) with a new diagnosis letter was submitted to the SF-DCT. See Exhibit 13 attached hereto, redacted Supplemental Disease Review Form dated December 16, 2004. On January 12, 2005, a second Notification of Status letter was received in our office and it stated the Compensation Level was not approved as "all documents referred to by the QMD as having been used to make a disability determination must be submitted." See Exhibit 14 attached hereto, redacted Notification of Status letter dated January 12, 2005. On January 25, 2005, a new Supplemental Disease Review Form along with the QMD report and relied upon materials by the QMD was submitted to the SF-DCT. See Exhibit 15 attached hereto, redacted Supplemental Disease Review Form dated January 25, 2005. On March 2, 2005, a third Notification of Status letter was received stating the SF-DCT acknowledges receipt of our letter with a copy of the QMD's letter, a copy of the disability questionnaire completed by the claimant and a copy of the old QMD report. Again the Disability level was deficient as the Notification of Status

letter stated, "Per Annex A, §7.01(c), to ensure an acceptable level of reliability and quality control of Claims, supplemental statements submitted must include copies of current treating physician records or a copy of an examination performed by a qualified medical doctor (QMD) as defined in Annex A, Schedule 11, Part A during the same time period as the statement was written. See Exhibit 16 attached hereto, redacted Notification of Status letter dated March 2, 2005. Annex A, Schedule II, page 84-85 states that there are two ways to document a claim for Disease Payment Option I compensation:

(a) a Claimant can provide a statement or diagnosis from a physician Board-certified in an appropriate specialty, together with the medical records upon which that statement or diagnosis is based or (b) a Claimant can provide the medical records that, themselves, will enable the Claims Office to place the Claimant on the Disease Payment Option I Schedule. See Exhibit 17 attached hereto, Annex A, Schedule II, page 84-85.

Annex A, Schedule II, page 85 states:

To the extent the severity of a Claimant's disease is based on a disability rating, as defined herein, the Claimant must submit all of the records that the physician relied upon in making his or her disability determination. This would include, as an example, any disability questionnaire that the Claimant completed in order to assist in the physician's determination. A non-Board-certified treating physician can provide a disability determination. See Exhibit 18 attached hereto, Annex A, Schedule II, page 85.

Annex A, Schedule II, page 45 of states,

"...This deficiency can be cured by providing a supplemental statement form the Claimant's treating physician or QMD describing the Claimant's level of pain or limitation on his/her activities. See Exhibit 19 attached hereto, Annex A, Schedule II, page 45.

ARGUMENT

E. Application and interpretation of disability language

The disability language in the 1994 global settlement, 1996 Revised Settlement Program and 1999 Joint Plan of Reorganization are identical with respect to the different standards adopted by the negotiators for disability A, B, and C. At no time have the negotiators changed the criteria nor have they been asked to provide an interpretation on why the standard for disability level A is different than for disability levels B and C. As late as November 2001, the Plan Proponents were not even aware the disability language for level A had been interpreted and modified by Judge Pointer in an individual claimant appeals decision. See Exhibit 20 attached hereto, Memo dated November 19, 2001, from D. Greenspan to W. Trachte-Huber in which Ms. Greenspan stated, "We do not believe that Judge Pointer issued an order changing the wording of the disability guideline." Had they been made aware of the change in criteria, the Plan Proponents could have clarified their intent on the different standards in the disability criteria.

Subsequent to the response of Ms. Greenspan noted above indicating that they did not believe the disability language had not been changed, the claims forms and the Claimant Information Guides were finalized for mailing to claimants. They contain the same definitions for disability that are in the Plan, The Revised Settlement Program and the global settlement, further leading the Tort Claimants' Committee/Claimants' Advisory Committee to conclude there had not been any change in disability criteria.

F. Inequitable application of disease criteria

Furthermore, as of December 2004, none of the appeals decisions in the Revised Settlement Program that may impact claim criteria have been made publicly available to claimants or to the CAC and Debtor's Representatives. We believe it is fundamentally unfair to give claimants a set of disease criteria in their claim forms and materials and then to process the claims using different criteria. If the disease criteria was interpreted in such a way by either the MDL Claims Office or an appeals decision from an individual claim, then it was done without the input or knowledge of the parties who negotiated the criteria and without the disclosure of this crucial information to anyone outside the claims office and appeals judge. If plaintiffs in the RSP had been made aware the criteria was being interpreted in a way that negated the carefully crafted language in the global settlement, the appropriate steps could have been taken at the time to challenge it. Instead, claimants are only now finding out claims that have been pending for 10 years are being found deficient because of an unknown, undisclosed interpretation of the disability criteria that apparently only applied to disease claims in the MDL-Post-1998.

We have been informed by plaintiff's counsel in the Revised Settlement Program that a motion to challenge the disability A interpretation and application and to compel disclosure of the applicable criteria to qualify was filed on December 6, 2004 with the MDL Court. We agree and join in wholly of the Motion Of Claimants' Advisory Committee For The Disclosure Of Substantive Criteria Created, Adopted And/Or Being Applied By The Settlement Facility And Request For Expedited Consideration.

G. Defining "qualified medical doctor"

In addition to injured Plaintiffs being prejudiced by non-disclosure of disease criteria and resulting claims deficiencies, there is further injustice purported by a

seemingly random application of the definition of a qualified medical doctor (QMD) as set forth inadequately in Annex A, Schedule II, page 84-85, paragraph provided in full on page 9 of this Motion text. See Exhibits 17 and 18 attached hereto, Annex A, Schedule II, page 84-85.

A Status of Disease Claim on Administrative "Hold" letter issued by the SF-DCT, dated May 17, 2005, states:

The Qualified Medical Doctor (QMD), who wrote the statement or narrative report describing your overall physical condition, does not meet the standard of reliability set forth by the Settlement; therefore we cannot use this statement in the review of your disease claim.

For a further, Plaintiffs may submit:

Office records written by physicians, other than your QMD, who have treated you for your medical condition that will provide information about your physical condition.

or:

At your own expense, get a new examination by a QMD of your choice and submit that statement as support of your overall medical and physical condition. (See example as Exhibit 21 attached hereto, redacted Status of Disease Claim on Administrative "Hold" letter dated May 17, 2005)

To date, 53 Plaintiffs have received a Status of Disease Claim on Administrative "Hold" letter stating the QMD has been deemed "unreliable." See Exhibit 22 attached hereto, list of 53 Administrative "Hold" Clients.

H. A likely scenario

Plaintiffs acted in good faith in obtaining updated disability statements from qualified physicians as required for consideration for payment at pre-determined disability levels only to learn certain physicians are not qualified to render such

statements. What must the Plaintiffs do to cure this deficiency? Locate another physician? Make an appointment, likely weeks or months away? Absorb the high cost of physical examination, review of records and preparation of disability report only to find out the new physician is also not qualified? Would this continue until the Plaintiff just gets lucky and finds a physician who qualifies and is not deemed "unreliable" by the SF-DCT? Meanwhile the one-year cure date has passed and the injured Plaintiff receives no compensation at all.

I. "Appropriate specialty" not defined

According to the Annex A paragraphs referring to the qualified physician, a claimant can provide a statement or diagnosis from a physician board-certified in an "appropriate specialty" with the medical records that the physician relied on for her statement or diagnosis. Although an "appropriate specialty" is not defined even minimally, it is Plaintiffs' assumption that a reasonable interpretation of this criteria would apply. It would be an absurdity for a claimant to seek a disability statement from a physician certified in a specialty totally unrelated to breast implant injuries. Plaintiffs have acted in good faith to locate receive treatment from physicians who assess their levels of disabilities, as required by the Settlement Trust, only to have those physicians rejected as being deemed "unreliable."

Additionally, Plaintiffs have been instructed to obtain a disability statement from certain named physicians in efforts to cure a disability and when they did, the disability statement rendered was rejected because that particular doctor was not a qualified medical doctor or the medical doctor was deemed "unreliable" by the DC-STF. As an example, Id attached correspondence Exhibit 21. With out knowing which medical

doctors the SF-DCT deems "reliable" or "unreliable," additional costs are being incurred to cure a plaintiff's deficiencies as the Settlement Trust has not disclosed which medical doctors they deem "reliable" or "unreliable."

Finally, 40 Plaintiffs went to an "unreliable" QMD as determined by the DC-STF to have their disease claim and/or disabilities addressed. As time is lapsing on their cure deadlines and although, these claims have not been completed by the doctor and thus have not had the benefit of being put on Administrative "Hold", these clients are no less prejudiced and require this Court's protection and protection of their rights in the same way those claimants who have been put on Administrative "Hold." As such, the Plaintiffs are now trying to locate a new QMD to address their deficiencies and there is not ample time to have the Plaintiffs evaluated and have their deficiencies cured timely according to the Notification of Status letter. Lastly, these Plaintiffs are incurring additional costs to have their deficiencies addressed by seeking out a new QMD and being evaluated. See Exhibit 23 attached hereto, List of Deficient Claimants. It follows that Plaintiffs are discouraged and disheartened.

CONCLUSION

These Plaintiffs have been burdened and discouraged by the system for 10 years. The delay and expense caused those injured goes above and beyond reasonable. Unless this court steps in and fine tunes its disability review and appeals decision process by disclosing and further defining them, Plaintiffs will simply sink deeper into the settlement quagmire and their rightful remedy will remain elusive and frustrating. If the disclosures

and requests are ordered as a result of this Motion, Plaintiffs can be ensured of a consistent and fair resolution of their claims.

We respectfully request this Court 1) Order the disclosure of all processing applications that impact or purport to change the settlement benefit criteria. Until this issue is resolved, we further request that the Court enter an 2) Order tolling the deadline to cure deficiencies for any claimants whose claims are found deficient based on criteria that they were not informed about, 3) Order requiring disclosure of any and all lists of qualified medical doctors or in the alternative, a list of doctors **not** qualified, 4) Order allowing an additional 6 (six) month extension past the date to cure all past and all future deficiencies, and 5) Order allowing those Plaintiffs listed on the Deficient Claimants' List to be put on Administrative "Hold" and/or an additional 6 (six) months extension past the date to cure.

Respectfully submitted,

Rhett D. Klok, Esquire

Rhett D. Klok, Esquire

Motley Rice LLC

28 Bridgeside Boulevard

Mt. Pleasant, South Carolina 29464

Tel: 843-216-9218 Fax: 843-216-9430

CERTIFICATION OF SERVICE

I herby certify that a true and accurate copy of the foregoing "PLAINTIFFS' MOTION FOR EXPEDITED CONSIDERATION FOR TOLLING OF DISEASE DEFICIENCIES AND REQUEST FOR SIX MONTH EXTESION FOR CURING PAST AND FUTURE DISEASE DEFICIENCIES" was served on the Claimants' Advisory Committee, Debtor's Representatives, and Finance Committee by electronic mail on May 26, 2005 as established in the Order Establishing Service List For Motions dated May 23, 2005.

Sandra A. Orvig, Paralegal

Service List:

For the Claimants' Advisory Committee: Dianna Pendleton-Dominquez, Esquire P.O. Box 665
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dpend440@aol.com

For the Debtor's Representatives
Deborah E. Greenspan, Esquire
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For the Finance Committee
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Claims Administrator
Settlement Facility-Dow Corning Trust
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LIST OF EXHIBITS

Exhibit 1	Global Settlement Disease and Disability Criteria, 1994
Exhibit 2	Revised Settlement Program Notice, 1996
Exhibit 3	MDL Order 27L appointing Frank Andrews as the Appeals Judge
Exhibit 4	Option 1 Disease Schedule in Annex A, the Claims Resolution Procedures
Exhibit 5	Excerpt from the Class 5 Disease Information Guide
Exhibit 6	Redacted claimant Notification of Status letter from SF-DCT dated June 1, 2004
Exhibit 7	E-Mail dated November 23, 2004 from D. Pendleton-Dominguez to W. Trachte-Huber and others
Exhibit 8	E-Mail dated November 24, 2004 from W. Trachte-Huber to the CAC, Debtor's Representatives and Finance Committee
Exhibit 9	Redacted claimant Notification of Status letter from SF-DCT dated June 14, 2004
Exhibit 10	Letter to SF-DCT with QMD Submission dated December 16, 2004
Exhibit 11	Annex A, page 39, Section 7.01(c)
Exhibit 12	Redacted claimant Notification of Status letter from SF-DCT dated September 10, 2004
Exhibit 13	Redacted Supplemental Disease Review Form with new Diagnosis letter dated December 16, 2004
Exhibit 14	Redacted claimant Notification of Status letter from SF-DCT dated January 12, 2005
Exhibit 15	Redacted Supplemental Disease Review Form with QMD report and relied upon material dated January 25, 2005
Exhibit 16	Redacted claimant Notification of Status letter from SF-DCT dated March 2, 2005

Exhibit 17	Annex A, Schedule II, page 84-85
Exhibit 18	Annex A, page 85
Exhibit 19	Annex A, page 45
Exhibit 20	Memo from D. Greenspan to W. Trachte-Huber dated November 19, 2001
Exhibit 21	Redacted Status of Disease Claim on Administrative "Hold" letter dated May 17, 2005
Exhibit 22	List of 53 Administrative "Hold" Claimants
Exhibit 23	List of Deficient Claimants

EXHIBIT 1

EXHIBIT A TO STATEMENT OF PRINCIPLES FOR GLOBAL RESOLUTION OF BREAST IMPLANT CLAIMS

September 3rd, 1993.

MEDICAL CONDITIONS AND CHARACTERISTICS OUTLINE OF DEFINITIONS AND CLASSIFICATION CRITERIA

The Disease Compensation Program will compensate claimants who have met the diagnostic criteria for the diseases and symptom complexes listed herein. Claimants who have met the diagnostic criteria will be classified in accordance with the various Compensation Categories.

If the claimant's Qualified Medical Doctor determines that her death or total disability is clearly and specifically caused by a disease or occurrence other than the compensable disease, she will not be eligible for compensation in compensation subcategory A.

SYSTEMIC SCLEROSIS/SCLERODERMA:

- (1) A diagnosis of systemic sclerosis in accordance with criteria established in Kelley, et al, Fourth Ed., at 1113, et seq.
- (2) The application of these diagnostic criteria is not intended to exclude from the compensation program individuals who present clinical symptoms or laboratory findings atypical of classical systemic sclerosis but who nonetheless have a systemic sclerosis-like (scleroderma-like) disease, except that the parties do not intend that a claimant whose symptomology more closely resembles MCTD, ACTD, or any other defined disease or condition will be compensated in this category. A "systemic sclerosis-like" or "scleroderma-like" disease is defined as an autoimmune/rheumatic disease that fulfills most of the accepted standards for the diagnosis of systemic sclerosis but is in some manner atypical of systemic sclerosis or scleroderma.

Compensation categories

- (A) Total disability/death. An individual will be deemed totally disabled based on either the functional capacity test set forth in subcategory A of Atypical Connective Tissue Disease/Atypical Rheumatic Syndrome or if the individual suffers from systemic sclerosis with associated severe renal involvement manifested by a decrease in glomerular filtration rates.
- (B) Cardio-pulmonary involvement or diffuse (Type III) scleroderma as defined by Barnett, <u>A Survival Study of Patients with Scleroderma Diagnosed Over 30 Years (1953 1983): The Value of a Simple Cutaneous Classification in the</u>

- Early Stages of the Disease, 15 The Journal of Rheumatology 276 (1988) and Masi, <u>Classification of Systemic Sclerosis</u> (Scleroderma): Relationship of Cutaneous Subgroups in Early <u>Disease to Outcome and Serologic Reactivity</u>, 15 The Journal of Rheumatology, 894 (1988).
- (C) Other including CREST, limited, or intermediate scleroderma, except that any claimant who manifests either severe renal involvement, as defined above, or cardio-pulmonary involvement, will be compensated at either category A or B as appropriate.
- (D) Other including Localized Scleroderma

SYSTEMIC LUPUS ERYTHEMATOSUS

- (1) A diagnosis of systemic lupus erythematosus in accordance with 1982 Revised Criteria for the Classification of Systemic Lupus Erythematosus, 25 Arthritis and Rheumatism No. 11 (November 1982) adopted by the American College of Rheumatology. See Kelley, 4th ed. at 1037.
- (2) The application of the ACR diagnostic criteria is not intended to exclude from the compensation program individuals who present clinical symptoms or laboratory findings atypical of SLE but who nonetheless have a systemic lupus erythematosus-like disease, except that the parties do not intend that a claimant whose symptomology more closely resembles MCTD, ACTD, or any other defined disease or condition will be compensated in this category.

Compensation categories:

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- (A) Total Disability or death resulting from SLE or an SLE-Like condition. An individual will be deemed totally disabled based on either the functional capacity test set forth in subcategory A of Atypical Connective Tissue Disease/Atypical Rheumatic Syndrome or severe renal involvement.
- (B) SLE with major organ involvement defined as SLE with one or more of the following: glomerulonephritis, central nervous system involvement (i.e. seizures or Lupus Psychosis), myocarditis, pneumonitis, thrombocytopenic purpura, hemolytic anemia (marked), severe granulocytopenia, mesenteric vasculitis. See Immunological Diseases, Max Samter, Ed. at 1352, Table 56-6.
- (C) Non-major organ SLE requiring regular medical attention including doctor visits and regular prescription medications. A woman is not excluded from this category for whom prescription medications are recommended but who, because of the side effects of those medications, chooses not to take them.
 - (D) Non-major organ SLE requiring little or no treatment. By

little or no treatment, a woman will fall into this category if she is able to control her symptoms through the following kinds of conservative measures: over-the-counter medications, avoiding sun exposure, use of lotions for skin rashes, and increased rest periods.

ATYPICAL NEUROLOGICAL DISEASE SYNDROME

The diagnosis of an atypical neurological disease syndrome shall be based upon the clinical findings and laboratory tests set forth below. The clinical and laboratory presentation of these neurological syndromes will have an atypical presentation from the natural disease and will also have additional neuromuscular, rheumatological or nonspecific autoimmune signs and symptoms. Eligibility for Atypical Neurological Disease Syndrome requires satisfying the requirements for one of the four disease types set forth in section A, below, and 3 of the additional neuromuscular, rheumatic or nonspecific symptoms set forth in section B, below.

A claimant will fit into this category if her primary symptoms are characteristic of a neurological disease as diagnosed by a board certified neurologist or by a physician board certified in internal medicine.

If the claimant's Qualified Medical Doctor determines that a symptom is clearly and specifically caused by a source other than breast implants, that symptom will not be utilized in the diagnosis of Atypical Neurological Disease Syndrome unless the Claims Office determines that other submissions indicate that the symptom should be utilized. A symptom that may be caused only in part by a source other than breast implants is not excluded from such utilization.

A. Neurological disease types

1. Polyneuropathies

Eligibility for this disease category requires a diagnosis of a polyneuropathy confirmed by one or more of the following:

- a. Objectively demonstrated loss of sensation to pinprick, vibration, touch or position;
- b. Proximal or distal muscle weakness;
- c. Tingling and/or burning pain in the extremities;
- d. Signs of dysesthesias; or
- e. Loss of tendon reflex.

Plus one or more of the following laboratory findings:

- a. Abnormal levels of anti-mag or anti-sulfatide or anti-GM1 antibodies;
- b. Abnormal sural nerve biopsy; or
- c. Abnormal Electrodiagnostic testing (EMG or Nerve conduction studies, etc).

2. Multiple Sclerosis-like Syndrome

Eligibility for this disease category requires definite evidence of central nervous system disease, with history and physical findings compatible with Multiple Sclerosis or Multiple Sclerosis-like syndrome, involving one or more of the following signs and symptoms:

- a. Weakness in the pyramidal distribution
- b. Evidence of optic neuritis documented by ophthalmologist
- c. Increased Deep Tendon reflexes
- d. Absent superficial abdominal reflexes
- e. Ataxia or dysdiadochokinesia as the sign of cerebellar involvement
- f. Neurologically induced tremors
- g. Internuclear ophthalmoplegia and/or bladder or speech involvement secondary to central nervous system disease.

Plus one or more of the following:

- a. Abnormal Brain MRI with foci of increased signal abnormality suggestive of demyelinating lesions
- b. Delayed visual evoked responses or abnormal evoked potentials
- c. Abnormal CSF with oligoclonal bands
- ALS-like Syndrome.

Eligibility for this disease category requires documented evidence of progressive upper and widespread lower motor neuron disease and/or bulbar involvement.

Plus one or more of the following:

- Neurological autoantibodies such as anti-mag, anti-sulfatide, anti-GM1;
- b. Abnormal sural nerve biopsy;
- c. Chronic inflammation on muscle or nerve biopsies;
- d. Abnormal EMG; or
- e. Documentation on neurological exam of both upper and lower motor neuron disease and/or bulbar involvement.
- 4. Diseases of Neuromuscular Junction.

Eligibility for this disease category requires a diagnosis of Myasthenia Gravis or Myasthenia Gravis-like syndrome or disorders of the NMJ, made by a board certified neurologist and confirmed by abnormal EMG showing typical findings of decrement on repetitive stimulation testing and/or elevated acetylcholine receptor antibodies.

B. Additional Neuromuscular, Rheumatic or Non-specific symptoms

Any three nonduplicative symptoms or findings set forth in the definition for ACTD.

Compensation Categories

The compensation level for ANDS will be based on the degree to which the claimant is "disabled" by the condition, as the claimants' treating physician determines in accordance with the following guidelines. The determination of disability under these guidelines will be based on the cumulative effect of the symptoms on the claimants' ability to perform her vocational, avocational, or usual self-care activities. In evaluating the effect of the claimants' symptoms, the treating physicians will take into account the level of pain and fatigue resulting from the symptoms. The

Vocational means activities associated with work, school, and homemaking.

² Avocational means activities associated with recreation and leisure.

³ Usual self-care means activities associated with dressing, feeding, bathing, grooming, and toileting.

disability percentages appearing below are not intended to be applied with numerical precision, but are, instead, intended to serve as a guideline for the physician in the exercise of his or her professional judgment.

- (A) A Claimant will be eligible for category A compensation if she is totally disabled (100% disabled) due to the compensable condition or has died as a result of the compensable condition. A woman shall be deemed 100 percent disabled if she demonstrates a functional capacity adequate to consistently perform only few or none of the usual duties or activities of vocation or self-care.
- (B) A claimant will be eligible for category B compensation is she is 35% disabled due to the compensable condition. A woman shall be deemed 35 percent disabled if she demonstrates a loss of functional capacity which renders her unable to perform some of her activities of usual occupation, avocation, and self-care, or if she can only perform them with regular or recurring severe pain.
- (C) A claimant will be eligible for category C compensation if she is 20% disabled due to the compensable condition. A woman shall be deemed 20 percent disabled if she demonstrates a loss of functional capacity which renders her unable to perform some of her usual activities of vocation, avocation, and self-care, or if she can only perform them with regular or recurring moderate pain.

MIXED CONNECTIVE TISSUE DISEASE/OVERLAP SYNDROMES

- (1) A diagnosis of MCTD in accordance with the following: the presence of clinical symptoms characteristic of two or more rheumatic diseases (systemic sclerosis, SLE, myositis, and Rheumatoid Arthritis) accompanied by positive RNP Antibodies. See, e.g., Kelley, Table 63-1, at p. 1061.
- (2) A Diagnosis of Overlap Syndrome: defined as any one of the following three (a) Diffuse cutaneous scleroderma, (b) limited cutaneous scleroderma, (c) or Sine scleroderma, occurring concomitantly with diagnosis of systemic lupus erythematosus, inflammatory muscle disease, or rheumatoid arthritis. See Kelley, p. 1114, table 66-2.
- (3) The application of the above diagnostic criteria is not intended to exclude from the compensation program individuals who present clinical symptoms or laboratory findings atypical of MCTD but who nonetheless have an Overlap Syndrome, except that the parties do not intend that a claimant whose symptomology more closely resembles an atypical connective tissue disease condition/atypical rheumatic syndrome/non-specific autoimmune condition will be compensated in this category.

Compensation Categories

- (A) Total Disability or death resulting from MCTD or Overlap Syndrome. An individual will be deemed totally disabled based on the functional capacity test set forth in subcategory A of Atypical Connective Tissue Disease/Atypical Rheumatic Syndrome.
- (B) MCTD or Overlap Syndrome, plus major organ involvement or major disease activity including central nervous system, cardio-pulmonary, vasculitic, or renal involvement or hemolytic anemia (marked) or thrombocytopenic purpura or severe granulocytopenia.
 - (C) Other.

POLYMYOSITIS/DERMATOMYOSITIS

- (1) A diagnosis of polymyositis or dermatomyositis in accordance with diagnostic criteria proposed by Bohan and Peter, i.e., 1) symmetrical proximal muscle weakness; 2) EMG changes characteristic of myositis including (a) short duration, small, low amplitude polyphasic potential, (b) fibrillation potentials, (c) bizarre high-frequency repetitive discharges; 3) elevated serum muscle enzymes (CPK, aldolase, SGOT, SGPT, and LDH); 4) muscle biopsy showing evidence of necrosis of type I and II muscle fibers, areas of degeneration and regeneration of fibers, phagocytosis, and an interstitial or perivascular inflammatory response; 5) dermatologic features including a lilac (heliotrope), erythematous, scaly involvement of the face, neck, shawl area and extensor surfaces of the knees, elbow and medial malleoli, and Gottron's papules. A diagnosis of dermatomyositis requires presence of three of the criteria plus the rash (fifth criterion). A diagnosis of polymyositis requires the presence of four criteria without the rash. See, Kelley, et al, at 1163.
- (2) The application of the above diagnostic criteria is not intended to exclude from the compensation program individuals who present clinical symptoms or laboratory findings atypical of polymyositis or dermatomyositis but who nonetheless have a polymyositis or dermatomyositis-like disease, except that the parties do not intend that a claimant whose symptomology more closely resembles an Atypical Connective Tissue Disease will be compensated in this category.

Compensation categories:

- (A) Total Disability or death resulting from polymyositis or dermatomyositis. An individual will be deemed totally disabled based on the functional capacity test set forth in subcategory A of Atypical Connective Tissue Disease/Atypical Rheumatic Syndrome.
 - (B) Polymyositis or dermatomyositis with associated

malignancy and/or respiratory muscle involvement.

(C) Other, including polymyositis or dermatomyositis with muscle strength of Grade III or less.

PRIMARY SJOGREN'S SYNDROME

- (1) A clinical diagnosis of Primary Sjogren's Syndrome in accordance with diagnostic criteria proposed by Fox et al. <u>See</u> Kelley, et al. at 932, Table 55-1 or <u>Fox, RI et al, "Primary Sjogren's Syndrome: Clinical and Immunopathologic features", Seminars Arthritis Rheum., 1984; 4: 77-105.</u>
- (2) The application of the above diagnostic criteria is not intended to exclude from the compensation program individuals who present clinical symptoms or laboratory findings atypical of primary Sjogren's syndrome but who nonetheless have a primary Sjogren's-like disease.

Compensation Categories

- A. Total disability or death. An individual will be deemed totally disabled based on the functional capacity test set forth in subcategory A of Atypical Connective Tissue Disease/Atypical Rheumatic Syndrome.
- B. Primary Sjogren's with associated central nervous system or severe cardio-pulmonary involvement or primary Sjogren's with pseudolymphoma or associated lymphoma.

c. other.

ATYPICAL CONNECTIVE TISSUE DISEASE/ATYPICAL RHEUMATIC SYNDROME/NON-SPECIFIC AUTOIMMUNE CONDITION

This category will provide compensation for claimants experiencing symptoms that are commonly found in autoimmune or rheumatic diseases but which are not otherwise classified in any of the other compensable disease categories. This category does not include persons who have been diagnosed with classical rheumatoid arthritis in accordance with ACR criteria, but will include patients diagnosed with undifferentiated connective tissue disease. However, such inclusion is not intended to exclude from this category persons who do not meet the definitions of UCTD. It is the intention that such persons not meeting the classic definitions of UCTD will be compensated pursuant to the provisions contained herein relative to ACTD, ARS, and nonspecific autoimmune.

As with other women who fit within this disease compensation program, the fact that a recipient has been in the past misdiagnosed with classic rheumatoid arthritis or the fact that the symptoms of classic RA may coexist with other symptoms will not

exclude the claimant from compensation herein. Persons who meet the criteria below and may have a diagnosis of atypical rheumatoid arthritis will not be excluded from compensation under this category.

Eligibility criteria and compensation levels for eligible claimants are set forth below in the Compensation Categories, which classify claimants in accordance with the following groups of symptoms.

If the claimant's Qualified Medical Doctor determines that a symptom is clearly and specifically caused by a source other than breast implants, that symptom will not be utilized in the diagnosis of Atypical Connective Tissue Disease/Atypical Rheumatic Syndrome unless the Claims Office determines that other submissions indicate that the symptom should be utilized. A symptom that may be caused only in part by a source other than breast implants is not excluded from such utilization.

Symptom Groupings

Paragraph A:

- 1. Raynaud's phenomenon evidenced by the patient giving a history of two color changes, or visual evidence of vasospasm, or evidence of digital ulceration.
- 2. Polyarthritis defined as synovial swelling and tenderness in three or more joints lasting greater than six weeks and observed by a physician.
- 3. Keratoconjunctivitis Sicca: subjective complaints or dry eyes and/or dry mouth accompanied by one of the following:
 - a. lacrimal or salivary enlargement;
 - b. parotid enlargement;
 - c. abnormal Schirmer test;
 - d. abnormal Rose-Bengal staining;
 - e. filamentous keratitis;
 - f. abnormal parotid scan or ultrasound;
 - g. abnormal CT or MRI of parotid; or
 - h. abnormal labial salivary biopsy.

Paragraph B:

- 1. Myalgias determined by tenderness on examination.
- 2. Immune mediated skin changes or rash as follows:
 - a. changes in texture or rashes that may or may

- not be characteristic of SLE, Systemic Sclerosis (scleroderma), or dermatomyositis; b. diffuse petechiae, telangiectasias, or livedo reticularis.
- 3. Pulmonary symptoms or abnormalities, which may or may not be characteristic of SLE, Systemic Sclerosis (scleroderma), or Sjogren's Syndrome, as follows:
 - a. pleural and/or interstitial lung disease;

b. restrictive lung disease;

- c. obstructive lung disease as evidenced by characteristic clinical findings and either:
 - i. characteristic chest X-ray changes or ii. characteristic pulmonary function test abnormalities in a non-smoker (e.g. decreased DLCO or abnormal arterial blood gases).
- 4. Pericarditis defined by consistent clinical findings and either EKG or echocardiogram.
- 5. Neuropsychiatric symptoms: cognitive dysfunction (memory loss and/or difficulty concentrating) which may be characteristic of SLE or MCTD as determined by a SPECT scan or PET scan or MRI or EEG or neuropsychological testing.
- 6. Peripheral neuropathy diagnosed by physical examination showing one or more of the following:
 - a. loss of sensation to pinprick or vibration or touch or position;
 - b. tingling, paresthesias or burning pain in the extremities;
 - c. loss of tendon reflex;
 - d. proximal or distal muscle weakness (loss of muscle strength in extremities or weakness of ankles, hands, or foot drop);
 - e. Signs of dysesthesias; or
 - f. entrapment neuropathies
- 7. Myositis or myopathy:
 - a. diagnosed by weakness on physical examination or by muscle strength testing;
 - b. abnormal CPK or aldolase;
 - c. abnormal cybex testing;
 - d. abnormal EMG;
 - abnormal muscle biopsy.

8. Serologic abnormalities:

- a. ANA > or equal to 1:40 (using Hep2);
- b. positive ANA profile such as Anti-DNA, SSA, SSB, RNP, SM, Scl-70, centromere, Jo-1, PM-Scl or dsDNA (preferable to use ELISA with standard cutoffs);
- c. other autoantibodies, including thyroid antibodies, anti-microsomal, or anticardiolipin, or RF (by nephelometry with 40 IU cutoff);
- d. elevation of immunoglobulin (IgG, IgA, IgM);
- e. serologic evidence of inflammation such as elevated ESR, CRP.
- Lymphadenopathy (as defined by at least 1 lymph node greater than or equal to 1x1 cm) documented by a physician.
- 10. Dysphagia with positive cine-esophagram, manometry or equivalent imaging.

Paragraph C:

- 1. Documented arthralgias
- Documented Myalgias
- 3. Chronic fatigue (>6 months)
- 4. Documented Lymphadenopathy
- 5. Documented Neurological symptoms including cognitive dysfunction or paresthesias
- 6. Photosensitivity
- 7. Documented Sicca symptoms
- 8. Documented dysphagia
- 9. Documented Alopecia
- 10. Documented sustained balance disturbances
- 11. Documented sleep disturbances
- 12. Documented easy bruisability or bleeding disorder
- 13. Documented chronic cystitis or bladder irritability

- 14. Documented colitis or bowel irritability
- 15. Persistent Low grade fever or night sweats
- 16. Mucosal ulcers confirmed by physician
- 17. Burning pain in the chest, breast, arms or axilla or substantial loss of function in breast due to disfigurement or other complications from implants or explantation.
- 18. Pathological findings: granulomas or siliconomas or chronic inflammatory response, or breast infections

DIAGNOSTIC CRITERIA:

A diagnosis of this category will be made in accordance with the following criteria:

- 1. One of the signs or symptoms listed in Paragraph A above and one of Paragraph B; or
- 2. Three signs or symptoms from Paragraph B; or
- 3. Two signs or symptoms from Paragraph A; or
- Two signs or symptoms from Paragraph B plus one nonduplicative sign or symptom from Paragraph C; or
- 5. A total of five non-duplicative signs or symptoms from any of the Paragraphs A through C.

Compensation Categories

The compensation level for ACTD/ARS will be based on the degree to which the claimant is "disabled" by the condition, as the claimants' treating physician determines in accordance with the following guidelines. The determination of disability under these guidelines will be based on the cumulative effect of the symptoms on the claimants' ability to perform her vocational⁴, avocational⁵,

Vocational means activities associated with work, school, and homemaking.

⁵ Avocational means activities associated with recreation and leisure.

or usual self-care⁶ activities. In evaluating the effect of the claimants' symptoms, the treating physicians will take into account the level of pain and fatigue resulting from the symptoms. The disability percentages appearing below are not intended to be applied with numerical precision, but are, instead, intended to serve as a guideline for the physician in the exercise of his or her professional judgment.

- (A) A Claimant will be eligible for category A compensation if she is totally disabled (100% disabled) due to the compensable condition or has died as a result of the compensable condition. A woman shall be deemed 100 percent disabled if she demonstrates a functional capacity adequate to consistently perform only few or none of the usual duties or activities of vocation or self-care.
- (B) A claimant will be eligible for category B compensation is she is 35% disabled due to the compensable condition. A woman shall be deemed 35 percent disabled if she demonstrates a loss of functional capacity which renders her unable to perform some of her activities of usual occupation, avocation, and self-care, or if she can only perform them with regular or recurring severe pain.
- (C) A claimant will be eligible for category C compensation if she is 20% disabled due to the compensable condition. A woman shall be deemed 20 percent disabled if she demonstrates a loss of functional capacity which renders her unable to perform some of her usual activities of vocation, avocation, and self-care, or if she can only perform them with regular or recurring moderate pain.

⁶ Usual self-care means activities associated with dressing, feeding, bathing, grooming, and toileting.

EXHIBIT 2

NORTHERN DISTRICT OF ALABAMA UNITED STATES DISTRICT COURT

Notice of Rights under Breast Implant Litigation

To Settlement Class Members (and others identified as possibly being breast implant recipients):

Enclosed for your attention and consideration are:

- a Notice (white) describing the status of the previously approved global settlement; the terms of a revised "claims-made" settlement program being offered to certain breast implant recipients by Bristol, Baxter, 3M, McGhan, and Union Carbide; your options, if eligible, to accept or reject the revised settlement; your options to remain in, exclude yourself from, or possibly rejoin the "Lindsey" class; and the status of claims against Mentor, Bioplasty, and Dow Corning.
- a "Question and Answer" Booklet (pink), answering questions frequently asked by implant recipients.

• four Forms:

(1)an Election Form (white), to be used by all breast implant recipients to elect, at least initially, among various options. (May also be used as a Registration Form by eligible implant recipients who have not previously registered with the Claims Office or as an election to rejoin the class by eligible recipients who previously opted out of the global settlement.)

(2)a Proof of Manufacturer Form (blue), to be used (with the Election Form) if you may be eligible and may want to participate in the revised settlement.

(3) an Explantation Claim Form (yellow), to be used (with the Election and Proof of Manufacturer forms) if you may be eligible and may want to participate in the revised settlement and if you have a Bristol, Baxter, or 3M implant removed after April 1, 1994.

(4) a Rupture Claim Form (green), to be used (with the Election and Proof of Manufacturer forms) if you may be eligible and may want to participate in the revised settlement, have previously filed a Current Disease Compensation Claim under the global settlement, and can prove by December 16, 1996, the rupture of a silicone-gel Bristol, Baxter, or 3M implant.

• a Synopsis (manila), briefly describing the revised settlement, highlighting important dates, and explaining the Forms. I urge you, however, to consult the Notice and the Question and Answer Booklet for more detailed information concerning your rights and options.

Before returning any forms, you should carefully read the attached Notice. If you have an attorney, you

some of them may want at this time to rejoin the class to participate in the revised settlement.

This Notice is being sent not only to all persons who have registered with the Claims Office, but also to all others who have provided the

Claims Office or the Court with their names and addresses. It is also being sent to those who have previously opted out of the Lindsey class since

should consult with that attorney about your rights and options. If you do not have an attorney, you can call 513-651-9770 to request legal advice. To learn about regional informational meetings, call 800-938-7357. The Claims Office, at 800-600-0311 (toll-free in U.S.) or 713-951-9106, can answer questions about the forms and general processing information, but cannot provide legal advice. Save these materials (as well as a copy of any form you return) for future reference.

Sam C. Pointer, Jr. Chief Judge

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF ALABAMA Southern Division

HEIDI LINDSEY, et al.,)
Plaintiffs;)
-VS-) No. CV 94-11558-5
DOW CORNING CORPORATION, et al., Defendants.)))

Order 27L (Referral of Claimant Appeals)

In Paragraph 34 of the Notice of the Revised Settlement Program ("RSP"), the court provided that claimants who were dissatisfied with a decision made by the Claims Officers could appeal to the Claims Administrator and, if still dissatisfied, could seek a further review, on the basis of the record evidence, by the court or by some other person designated by the court to conduct such review. Over the past year, the court has received numerous such appeals from the Claims Administrator's decisions. While many of these appeals have involved relatively straightforward questions concerning compliance with the deadlines or other requirements of the RSP, other appeals have required detailed review of claim files containing voluminous medical records. Due to the number of these appeals and the other demands on the court's time, claimants unfortunately have often waited several months for this review.

After consultation with Plaintiffs Liaison Counsel and Steering Committee, and consistent with the provisions of the RSP Notice, the court has decided to appoint the Honorable Frank Andrews to serve as the court's designee for purposes of deciding all appeals from the decisions of the Claims Administrator. The court anticipates that the referral of claimant appeals to Judge Andrews will result in more prompt determination of such appeals.

This court hereby appoints the Honorable Frank Andrews to decide all appeals from the decisions of the Claims Administrator, effective May 13, 1998. Judge Andrews may exercise the same degree of equitable discretion on such matters as timeliness of filings and other similar administrative questions as has been exercised by the court in conducting such reviews. Judge Andrews' decisions will be final; no appeals or reviews will be permitted from such decisions.

^{1.} This court will retain and rule upon all administrative appeals submitted to the court prior to May 13, 1998.

Inquiries concerning the status of any appeals submitted for ruling on or after that date should be addressed to Judge Andrews in Dallas, Texas, at (214)956-0050.

This the 19th day of May, 1998.

/s/ Sam C. Pointer, Jr. Chief Judge Sam C. Pointer, Jr.

Service List: Ms. Ann Cochran, Claims Administrator Plaintiffs Liaison Counsel Defendants Liaison Counsel

- 3. Photocopies of the certificate for certified medical records are acceptable. The original certificate and original records do not have to be submitted as long as a photocopy is submitted.
- F. Acceptable Forms of Proof Based on Explantation. Specified unique identifiers of Dow Corning Small Joint Orthopedic Implants and Large Joint Orthopedic Implants shall be considered acceptable proof when demonstrated as specified at paragraphs (a), (b), and (c) below.
- (a) Medical records of the explanting physician, created at or within 30 days of the time of explantation, that describe a Unique Identifier from Section C of this Schedule I, Part II of a Dow Corning Large Joint Orthopedic Implant or Small Joint Orthopedic Implant product.
- (b) A photograph of an explanted implant depicting one of the Unique Identifiers of a Dow Corning Large Joint Orthopedic Implant or Small Joint Orthopedic Implant as set forth at Schedule I, Part II, Section C. The photograph must be accompanied by a statement from the explanting physician identifying the implant in the photograph as one (s)he removed from the claimant. The photograph must also be accompanied by statement advising of whether this implant has been preserved. The Claims Administrator may require the presentation of a removed implant if preserved.
- (c) The implant, if preserved, along with the identity and location of the custodian of the implant. The Claims Administrator may require the presentation of the removed implant(s) for examination by an individual or entity designated by the Claims Administrator.
- G. Unacceptable Proof. Only proof specifically described herein as acceptable proof or proof expressly agreed to by Dow Corning in a writing provided to the Claims Office will be sufficient to establish Proof of Manufacturer of a Dow Corning Other Product. Any other proof will be deemed unacceptable proof of a Dow Corning implant. The following are examples of unacceptable proof:
- (a) A Claimant's own recollection (or that of a friend or relative) regarding the brand name or manufacturer of his/her implants.
 - (b) Records from the International Implant Registry.
- (c) Records from the explanting surgeon attempting to supply the acceptable proof at Section C above if identifiers not on the list of unique identifiers are the basis of the identification, or the physician fails to specify the characteristics assumed to be unique, or the physician merely opines, based on his or her experience, that the prosthesis was made by a certain manufacturer.
- (d) A non-contemporaneous statement by the implanting physician qualifying the statement concerning the type of implant used in a particular patient by phrases like "if I remember correctly" or "to the best of my memory." Statements from physicians describing their typical or general practices concerning implant usage during a given time period will be unacceptable proof (for example, a statement that "we usually used Dow Corning implants").

- (e) A non-contemporaneous statement by the implanting physician, attempting to provide the acceptable proof set forth in Section D (e), above, that does not name the Claimant as a person receiving a particular type or brand of implant will be treated as unacceptable proof.
- (f) Records indicating the brand or manufacturer of implants the surgeon <u>planned</u> to use, without confirmation from the implanting physician (or in records relating to the implant surgery) that type of implant was actually used.
- (g) The mere use of the word "Silastic" without capitalization of the first letter and other indications of a Dow Corning product shall be unacceptable proof that a Dow Corning product was used in the Claimant.
- (h) Records containing the catalog number, lot number, brand name, dimensions, chemical make-up and unique identifiers consistent with a non-Dow Corning implant.
- H. Cooperation. Dow Coming will assist the Claims Office including the staff of the Claims Office by providing a list of lot numbers, catalog numbers and any other identifying information about Dow Corning Other Products.

PART III. Silicone Material Claimants

- A. Brand/Manufacturer Names. For purposes solely of the Settlement Program for Silicone Material Claimants, the brand/manufacturer names listed at Exhibit G to the Revised Settlement Program (as reproduced at Section C. below) and Exhibit G2 to the Foreign Revised Settlement Program (as reproduced at Section D. below) as attributable to Baxter, Bristol, Cox-Uphoff, Mentor or Bioplasty shall identify a breast implant product covered under the Silicone Material Claimant Settlement Program if the Claimant submits acceptable Proof of Manufacturer as defined at Section B below.
- B. Acceptable Proof. The types of proof defined as acceptable under the Revised Settlement Program along with the unique identifiers specified in the Revised Settlement Program for breast implants manufactured by the entities listed at Section A above shall be acceptable Proof of Manufacturer for purposes of the Silicone Material Claimant Settlement Program. The types of proof identified as unacceptable proof under the Revised Settlement Program for such manufacturers shall be deemed as unacceptable proof for purposes of the Silicone Material Claimant Settlement Option.

C. EXHIBIT G -- Implant Brands and Manufacturers.

(Adjusted to include only those identified as Baxter, Bristol, Cox-Uphoff (CUI), Mentor, or Bioplasty. (3M is identified solely for purposes of Section 6.02(d)(v).))

The left-hand column is a list of companies, implant brands, "designer" implant names, and other names or phrases that might be used in medical records to describe a particular type of breast implant. The column to the right identifies the company with which that brand is associated for purposes of the Revised Settlement Program. If implantation date ranges are supplied for an implant, an appropriate notation is to the right of each date range.

Implants noted as Mentor that have a star (*) before Mentor will be treated as Baxter implants if a Baxter lot number can be supplied for that implant.

Brand/Manufacturer Name	Status in Revised Program
зм	3M
AHS	Bexter
Aesthetech	Bristol
American Heyer-Schutte	Baxter
American Hospital Supply	Baxter
Ashley Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol
Baxter	Baxter
Becker	Mentor
Biomanufacturing	Bioplasty
Bio-oncotic	Bioplasty
Bioplasty	Bioplasty
Birnbaum	Baxter
Capozzi Implanted before 9/1/71 Implanted after 8/31/71	Bristol Baxter
Cavon	Bristol
CBI Medical	Bristol
Cooper Surgical	Bristol
Corbet	Bristol
Cox Uphoff	cui
CZV/CRS (Croissant Versafil Low Profile)	CN1 .
Dahl	Bristol
Directa Span	Mentor
DRI	cui
DRIE	CUI
Edward Laboratories	Baxter
HP (Enhanced High Profile)	CUI
dward Weck & Co. Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol
lat Span	Mentor
ZV/SFV (Round Versafil LP Tissue Expander)	CUI
eorgiade	Bristol
ibney	CUI

	1
Guthrie Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol
Hartley	Baxter
Heyer-Schulte Implanted before 3/31/84 Implanted after 3/30/84	Baxter *Mentor
Heyer-Schulte Mentor	Mentor
Intrashiel Implanted before 8/3/84	зм
Intravent	CUI
IOC (Cylindrical Intraoperative Tissue Expander)	CUI
IOM (Intravent Intraoperative Expander)	CUI
IOS (Spherical Intraoperative Tissue Expander)	CUI
Isle	Mentor
Jenny	Baxter
Jobe	Baxter
Klein	Bioplasty
Mammatech	Bioplasty
Mark/M Surgical Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol
Markham Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol
Markham Medical Int'l Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol
McGhan Implanted before 8/3/84	3M
MEC	Bristol
Medical Engineering Corporation	Bristol
Memė	Bristol
Meme ME	Bristol
Meme MP	Bristol
Mentor	Mentor
MFE (Man Facelift Expander)	cui
Microcell	cui
Misty	Bioplasty
Misty Gold	Bioplasty
Mueller, V. Implanted 11/1/78 to 3/30/84	Baxter

Munna	Bristol
Natrashiel	3М
Natural Y Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol
Norman	Bristol
OHP (Ovat High Profile)	CUI
OLP (Oval Low Profile)	cui
Optimam	Bristol
Pangman	Baxter
Papillon	Bristol
Регтаз	Bristol
Perras-Papillon	Bristol
Polyurethane Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol
Poly Plastic Implanted before 9/1/71 Implanted after 8/31/71	Bristol Baxter
Poly Plastic Adjustable	Baxier
Quin-Seal	Bristol
Radovan	Mentor
RCP (Round Conical Profile)	CUI
RCR (Ruiz-Cohen Expanders)	CUI
RDD (Reverse Double Lumen DRIE)	CUI
RDL (Reverse Double Lumen)	CUI
RDL-XPAND	CUI
RDX (Round Double Lumen)	CUI
Replicon	Bristol
Reverse Double Lumen	CUI
RHD (Round High Profile)	CUI
RHP (Round High Profile)	CUI
RLD (Round Low Profile DRIE)	CUI
RLP (Round Low Profile)	CUI
Roger Klein	Bioplasty
RTV/RTT (Smooth/Textured)	CUI
Ruiz-Cohen	CUI
RZV/SRV (Rectangular Versafil Tissue Expander)	CUI
SCC (Cylindrical Tissue Expander)	CUI
SCL	Bristol

SCS (Crescent Tissue Expander)	CUI	
SEE (Mini-crescent Tissue Expander)	CUI	
Seropian	Baxter	
SFS (Saline Fill Skin and Tissue Expander)	CUI	
SGO (Saline Gel Oval)	CUI	
SGR (Saline Gel Round)	CUI	
Siltex	Mentor	
Siltex Becker	Mentor	
Siltex Spectrum	Mentor	
SLP (Single Lumen Adjustable)	CUI	
SLS (Longitudinally Curved Tissue Expander)	CUI	
Snyder	Bristol	
SOE (Small Oval Tissue Expander)	CUI	
SOS (Ear Shaped Tissue Expander)	CUI	
Spectrum	Mentor	
SPS (Pear Shaped Tissue Expander)	CUI	
SRS (Rectangular Tissue Expander)	cui	
SSS (Spherical Tissue Expander)	CUI	
Sterling	Baxter	
Summit Medical	Bristol	
Surgical Specialties	Bristol	
Surgitek	Bristol	
SWS (Wedge Shaped Tissue Expander)	cui	
SZR (Round Low Profile Sizer)	CUI	
Tabari	Baxter	
Tecknar	Mentor	
TLL (Triple Lumen Round)	CUI	
Travenol	Baxter	
Tri-Lumen	CUI	
TRL (Tri-Lumen Implants)	CUI	
TSO (Triple Lumen Low Profile Oval)	CUI	
TSR (Triple Lumen Round Low Profile)	CUI	
Uroplasty	Bioplasty	
Versafil	CUI	
V. Mueller Implanted 11/1/78 to 3/30/84	Baxter	
	1	
Vague	Bristol	
Vagner	Bristol Baxter	

Weck Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol
Williams	Baxter
Wood	Bristol

D. EXHIBIT G2--Implant Brands and Manufacturers.

(Adjusted to include only those identified as Baxter, Bristol, Cox-Uphoff (CUI), Mentor, or Bioplasty. (3M is identified solely for purposes of Section 6.02(d)(v).))

The left-hand column is a list of companies, implant brands, "designer" implant names, and other names or phrases that might be used in medical records to describe a particular type of breast implant. The column to the right identifies the company with which that brand is associated for purposes of the Foreign Settlement Program ("FSP"). If implantation date ranges are supplied for an implant, an appropriate notation is to the right of each date range.

BRAND/MANUFACTURER NAME	STATUS IN FOREIGN SETTLEMENT PROGRAM		
3M	3M		
Alis	Baxter		
Aesthetech	Bristol		
American Heyer-Schulte	Baxter		
American Hospital Supply	Baxter		
Ashley Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol		
Baxter	Baxter		
Birnbaum	Baxter		
Capozzi Implanted before 9/1/71 Implanted after 8/31/71	Bristol Baxter		
Cavon	Bristol		
CBI Medical	Bristol		
Cooper Surgical	Bristol		
Corbet	Bristol		
Dahl	Bristol		
Edward Laboratories	Baxter		
Edward Weck & Co. Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol		
Georgiade	Bristol		
Guthrie Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol		

Hartley	Baxter	
Heyer-Schulte Implanted before 3/31/84 Implanted after 3/30/84	Baxter Generally not covered; may be Baxter on special proofsee explanation following table	
Intrashiel Implanted before 8/3/84 Implanted after 8/2/84	3M Generally not covered; may be 3M on special proof-see explanation following table	
Jenny	Baxter	
Jobe	Baxter	
Mark/M Surgical Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol	
Markham Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol	
Markham Medical Int'l Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol	
McGhan Implanted before 8/3/84 Implanted after 8/2/84	3M Generally not covered; may be 3M on special proof-see explanation following table	
MEC	Bristol	
Medical Engineering Corporation	Bristol	
Meme	Bristol	
Meme ME	Bristol	
Merne MP	Bristol	
Mueller Implanted 9/1/74 to 10/31/78	Baxter	
Munna	Bristol	
Natrashiel	3M	
Natural Y Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol	
Norman	Bristol	
Optimam	Bristol	
Pangman	Baxter	
Papillon	Bristol	
Реггаѕ	Bristol	
Perras-Papillon	Bristol	
Polyurethane Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol	

Poly Plastic Implanted before 9/1/71 Implanted after 8/31/71	Bristol Baxter
Poly Plastic Adjustable	Baxter
Quin-Scal	Bristol
Replicon	Bristol
SCL.	Bristol
Seropian	Baxter
Snyder	Bristol
Sterling	Baxter
Summit Medical	Bristol
Surgical Specialities	Bristol
Surgitek	Bristol
Tabari	Baxter
Travenol	Baxter
V. Mueller Implanted 9/1/74 to 10/31/78	Baxter
Vogue	Bristol
Wagner	Baxter
Webster	. Bristol
Weck Implanted before 9/1/71 Implanted 9/1/71 to 12/8/78 Implanted after 12/8/78	Bristol Baxter Bristol
Williams	Baxter
Wood	Bristol

SCHEDULE II MEDICAL CONDITIONS AND CHARACTERISTICS OUTLINE OF DEFINITIONS AND CLASSIFICATION CRITERIA

PART A. DISEASE AND DISABILITY/SEVERITY DEFINITIONS: DISEASE PAYMENT OPTION 1

GENERAL GUIDELINES

The following are general guidelines, which are adopted from and are intended to be applied consistently with the Revised Settlement Program and interpretations thereof, to be used in the submission and evaluation of a Claim for compensation under Disease Payment Option I:

There are two ways to document a claim for Disease Payment Option I compensation:
(a) a Claimant can provide a statement or diagnosis from a physician Board-certified in an appropriate specialty, together with the medical records upon which that statement or diagnosis is based or (b) a Claimant can provide the medical records that, themselves, will enable the Claims Office to place the Claimant on the Disease Payment Option I Schedule.

A Claimant should submit all records that contain information relevant to the criteria for Disease Payment Option I, including (1) records relating to the relevant signs, symptoms, findings and test results set forth in Disease Payment Option 1 and (2) records showing the severity of a Claimant's disease or, if applicable, a determination of disability level by either a Qualified Medical Doctor or the Claimant's treating physician. In general, whatever the physician relied upon in arriving at the diagnosis and findings in the statement or diagnosis should be provided. Typically, this might include a patient questionnaire, physical findings obtained from an assistant's notes in the office chart, and certain lab or other test reports. If the doctor needed to review earlier medical records obtained from other physicians to make a definitive statement about the Claimant's condition or disability, then those records must also, if available, be submitted. If, however, based on an examination of the Claimant, the physician has first-hand knowledge of everything that is the basis for his or her opinion, and the statement or diagnosis sets out that knowledge in sufficient detail, it is possible that no additional records will be required.

As used herein, the term "Qualified Medical Doctor" or "QMD" means a physician who is Board-certified (not Board-eligible) in internal medicine, rheumatology (a sub-specialty of internal medicine), neurology, neurological surgery, or immunology who prepares the statement or diagnosis that the Claimant must file in support of a Disease Payment Option I Claim. Only a Board-certified physician can submit the statement or diagnosis of one of the compensable diseases included in Disease Payment Option I. The physician writing a statement or diagnosis of one of the compensable diseases in Disease Payment Option I must be Board-certified in an appropriate specialty. The type of specialty depends on the complaints and symptoms with which a Claimant presents. "Board-certified" means certification in a particular medical specialty by the American Board of Medical Specialists. A Doctor of Osteopathy can be a Qualified Medical Doctor if he or she is Board-certified by the same Board that certifies Medical Doctors. A Doctor of Osteopathy may also submit diagnoses or disease compensation claims so long as his or her certification is within an appropriate specialty.

The Claims Office is authorized to determine whether physicians in other countries have degrees or certifications that are the equivalent of those accorded in the United States and should therefore be treated as Qualified Medical Doctors. The Claims Office shall determine which certification systems of foreign countries are the equivalent of U.S. Board certification using the procedures applied by the MDL 926 Claims Administrator in the Foreign Settlement Program. The Plan Proponents or the Claimants' Advisory Committee and Debtor's Representatives shall specify the categories, degrees or certification of doctors that will qualify as Qualified Medical Doctors in Class 6.2 countries.

As used herein, the term "treating physician" is one who has seen, examined, and treated the Claimant on several occasions, and not a doctor whom the Claimant has seen only for purposes of getting an evaluation to make a claim under this Disease Payment Option. Treating physician includes a Qualified Medical Doctor if such Qualified Medical Doctor states that he or she has the information necessary to form a professional opinion about the Claimant's disability and sets forth in the statement or diagnosis (or in a supplemental statement) the information upon which that opinion is based and the source of that information.

As used herein, the term "documented" means that it is based on some reliable information other than simply the Claimant's complaint or oral history. For some symptoms, "documented" means that the physician has verified the symptom on physical examination or through a lab test. For others, primarily those that are entirely subjective, it can mean that the physician has performed a physical examination and questioned the Claimant sufficiently to be able to form a professional opinion, utilizing all that doctor's knowledge and training, that the complaint is a valid one. (In this situation, it is important that the physician relying on these complaints does not qualify the diagnosis by stating that these "findings" are based solely on the patient's history given at the time of the single visit to the Board-certified specialist. The physician needs to feel confident in concluding that the problems do indeed exist.) "Documented" can also mean that written notations of that symptom are found several places in the Claimant's medical records. Thus, to show that a symptom is "documented," a Claimant can submit (1) proof of verification of the symptom through physical examination; (2) a statement from the Claimant's QMD revealing that (s)he questioned the Claimant sufficiently about the symptom and concluded that the complaint is valid; or (3) medical records reflecting that the Claimant had complained about this symptom on other occasions.

To the extent the severity of a Claimant's disease is based on a disability rating, as defined herein, the Claimant must submit all of the records that the physician relied upon in making his or her disability determination. This would include, as an example, any disability questionnaire that the Claimant completed in order to assist in the physician's determination. A non-Board-certified treating physician can provide a disability determination.

In preparing submissions for Disease and Disability Option 1 and in curing any deficiencies that may be noted when the submission is processed, Claimants and their physicians (and their counsel if applicable) should be aware that the disability must be related to the compensable condition. That is, the pain must be due to the Claimant's Atypical Connective Tissue Disease or Atypical Neurological Disease. Thus, a threshold requirement in evaluating a disability submission is whether the Claimant's qualifying symptoms are ones such as alopecia, chronic fatigue, or loss of breast function that normally have no pain component. A disability determination cannot be approved unless there is evidence that the Claimant is experiencing pain from at least one of her qualifying symptoms or unless the Claimant, in response to a deficiency

determination, supplies evidence that she has an additional qualifying symptom that does cause pain. In addition, Claimants and their physicians (and their counsel if applicable) should be aware that a "C" level disability requires that the pain be "regular or recurring." Thus, if a Claimant's pain is described in her records as being only "mild" or "slight," the disability determination will not be approved.

With respect to a claim for a "B" level disability, the claim must be based on severe pain or an inability to do certain activities. In order to qualify, there must be pain-producing symptoms that result in severe pain on a regular or recurring basis. Generalized statements about "severe pain" may not be enough. The Claims Office must be able to verify that the Atypical Connective Tissue Disease or Atypical Neurological Disease symptoms themselves are the cause of the severe pain. If the "B" level disability claim is based on limitations on a Claimant's activities, the claim submission must provide information concerning the activities that are limited. A conclusory statement, with no information about the Claimant and her limitations, will result in a deficiency being assigned. The disability assessment must demonstrate a connection between the specific activities that the Claimant can no longer perform. The disability must be due to the compensable condition. The Claims Office must have enough information about what the limitations are and the cause of those limitations to be able to verify that the Claimant's condition indeed meets the requirements for a "B" disability level.

In preparing a claim for an "A" level disability, Claimant's and their physicians (and their counsel, if applicable) should be aware that the definition of this assigned disability level is a difficult one to meet. A Claimant must be unable to do any of her normal activities or only be able to do a very few of them. In preparing a submission, it should be reviewed to determine whether there is enough description of the Claimant's daily life and limitations to allow a reader to know that she does indeed meet this strict definition of total disability. In addition, it must be clear that the Claimant's total disability is due to the symptoms of the applicable disease or condition.

Generalized statements by the QMD that track the disease and disability language cannot replace the responsibility of the Claims Office to review, on a detailed level, all of the claim documentation provided.

If the Breast Implant Claimant's Qualified Medical Doctor determines that her death or total disability is clearly and specifically caused by a disease or occurrence other than the compensable disease, she will not be eligible for compensation in Severity/Disability Category A.

DISEASE PAYMENT OPTION I: DEFINITION OF COVERED CONDITIONS

SYSTEMIC SCLEROSIS/SCLERODERMA (SS)

- 1. A diagnosis of systemic sclerosis shall be made in accordance with the criteria established in Kelley, et al., Textbook of Rheumatology (4th ed.) at 1113, et seq.
- 2. Application of these diagnostic criteria is not intended to exclude from the compensation program individuals who present clinical symptoms or laboratory findings atypical of classical systemic sclerosis but who nonetheless have a systemic sclerosis-like (scleroderma-like) disease, except that an individual will not be compensated in this

category if her symptomology more closely resembles MCTD, ACTD, or any other disease or condition defined below. A "systemic sclerosis-like" or "scleroderma-like" disease is defined as an autoimmune/rheumatic disease that fulfills most of the accepted standards for the diagnosis of systemic sclerosis but is in some manner atypical of systemic sclerosis or scleroderma.

3. Severity/Disability Compensation Categories

- A. Death or total disability resulting from SS or an SS-like condition. An individual will be considered totally disabled if the individual satisfies the functional capacity test set forth in Severity/Disability Category A for ACTD/ARS/NAC or if the individual suffers from systemic sclerosis with associated severe renal involvement manifested by a decrease in glomerular filtration rates.
- B. Cardio-pulmonary involvement or diffuse (Type III) scleroderma as defined by Barnett, A Survival Study of Patients with Scleroderma Diagnosed Over 30 Years (1953 1983): The Value of a Simple Cutaneous Classification in the Early Stages of the Disease, 15 The Journal of Rheumatology 276 (1988) and Masi, Classification of Systemic Sclerosis (Scleroderma): Relationship of Cutaneous Subgroups in Early Disease to Outcome and Serologic Reactivity, 15 The Journal of Rheumatology, 894 (1988).
- C. Other including CREST, limited, or intermediate scleroderma, except that any Breast Implant Claimant who manifests either severe renal involvement, as defined above, or cardio-pulmonary involvement, will be compensated at either category A or B as appropriate.
- D. Other not covered above, including localized scleroderma.

SYSTEMIC LUPUS ERYTHEMATOSUS (SLE)

 A diagnosis of systemic lupus erythematosus (SLE) shall be made in accordance with 1982 Revised Criteria for the Classification of Systemic Lupus Erythematosus, 25 Arthritis and Rheumatism No. 11 (November 1982) adopted by the American College of Rheumatology. See Kelley, 4th ed. at 1037, Table 61-11: A diagnosis of lupus is made if four of the eleven manifestations listed in the table were present, either serially or simultaneously, during any interval of observations.

CRITERION	DEFINITION
Malar rash	Fixed erythema, flat or raised, over the malar eminences, tending to spare the nasolabial folds
Discoid rash	Erythematous raised patches with adherent keratotic scaling and follicular plugging; atrophic scarring may occur in older lesions
Photosensitivity	Skin rash as a result of unusual reaction to sunlight, by patient history or physician observation

Oral ulcers	Oral or nasopharyngeal ulceration, usually painless, observed by a physician	
Arthritis	Nonerosive arthritis involving two or more peripheral joints, characterized by tenderness, swelling or effusion	
Serositis	(a) Pleuritis — convincing history of pleuritic pain or rub heard by a physician or evidence of pleural effusion or (b) Pericarditis — documented by ECG or rub or evidence of pericardial effusion	
Renal disorder	(a) Persistent proteinuria greater than 0.5 g/day or greater than 3 + if quantitation not performed or (b) Cellular casts may be red cell, hemoglobin, granular, tubular, or mixed	
Neurologic disorder	(a) Seizures - in the absence of offending drugs or known metabolic derangements; e.g., uremia, ketoacidosis, or electrolyte imbalance or (b) Psychosis - in the absence of offending drugs or known metabolic derangements; e.g. uremia, ketoacidosis, or electrolyte imbalance	
Hematologic disorder	(a) Hemolytic anemia - with reticulocytosis or (b) Leukopenia - less than 4000/mm total on 2 or more occasions or (c) Lymphopenia - less than 1500/mm on 2 or more occasions or (d) Thrombocytopenia - less than 100,000/mm in the absence of offending drugs	
Immunologic disorder	(a) Positive LE cell preparation or (b) Anti-DNA - antibody to native DNA in abnormal titer or (c) Anti-Sm - presence of antibody to Sm nuclear antigen or (d) False positive serologic test for syphilis known to be positive for at least 6 months and confirmed by Treponema pallidum immobilization or fluorescent treponemal antibody absorption test	
Antinuclear antibody	An abnormal titer of antinuclear antibody by immunofluorescence or an equivalent assay at any point in time and in the absence of drugs known to be associated with drug-induced lupus syndrome	

2. The application of the ACR diagnostic criteria is not intended to exclude from the compensation program individuals who present clinical symptoms or laboratory findings atypical of SLE but who nonetheless have a systemic lupus erythematosus-like disease, except that an individual will not be compensated in this category if her symptomology more closely resembles mixed connective tissue disease (MCTD), ACTD, or any other disease or condition defined below.

3. Severity/Disability Compensation Categories:

- A. Death or total disability resulting from SLE or an SLE-like condition. An individual will be considered totally disabled based on either the functional capacity test set forth in Severity/Disability Category A for ACTD/ARS/NAC or severe renal involvement.
- B. SLE with major organ involvement defined as SLE with one or more of the following: glomerulonephritis, central nervous system involvement (i.e. seizures or Lupus Psychosis), myocarditis, pneumonitis, thrombocytopenic purpura, hemolytic anemia

- (marked), severe granulocytopenia, mesenteric vasculitis. <u>See Immunological Diseases</u>, Max Samter, Ed. Table 56-6, at 1352.
- C. Non-major organ SLE requiring regular medical attention, including doctor visits and regular prescription medications. An individual is not excluded from this category for whom prescription medications are recommended but who, because of the side effects of those medications, chooses not to take them.
- D. Non-major organ SLE requiring little or no treatment. An individual will fall into this category if she is able to control her symptoms through the following kinds of conservative measures: over-the-counter medications, avoiding sun exposure, use of lotions for skin rashes, and increased rest periods.

ATYPICAL NEUROLOGICAL DISEASE SYNDROME (ANDS)

- A diagnosis of Atypical Neurological Disease Syndrome (ANDS) shall be based upon the clinical findings and laboratory tests set forth below. The clinical and laboratory presentation of these neurological syndromes will have an atypical presentation from the natural disease and will also have additional neuromuscular, rheumatological or nonspecific autoimmune signs and symptoms.
- 2. Eligibility for Atypical Neurological Disease Syndrome requires both:
 - satisfying the requirements for one of the four neurological diseases set forth in paragraph 5 below, and
 - •• any three additional (nonduplicative) neuromuscular, rheumatic, or nonspecific symptoms or findings set forth in the definition for Atypical Connective Tissue Disease (ACTD).
- 3. An individual will fit into this category if her primary symptoms are characteristic of a neurological disease as diagnosed by a Board-certified neurologist or by a physician Board-certified in internal medicine.
- 4. If the individual's Qualified Medical Doctor determines that a symptom is clearly and specifically caused by a source other than breast implants, that symptom will not be utilized in the diagnosis of Atypical Neurological Disease Syndrome unless the Claims Office determines that other submissions indicate that the symptom should be utilized. A symptom that may be caused only in part by a source other than breast implants is not excluded from such utilization.
- 5. Neurological disease types:
 - Polyneuropathies. This disease category requires either (1) a diagnosis of a polyneuropathy that is confirmed by one or more of the following or (2) submission of sufficient evidence of, and the required findings confirming, such condition:
 - Objectively-demonstrated loss of sensation to pinprick, vibration, touch, or position

DISEASE CLAIMANT INFORMATION GUIDE

DOW CORNING BREAST IMPLANT CLAIMANTS (CLASS 5)

DISEASE CLAIMANT INFORMATION GUIDE DOW CORNING BREAST IMPLANT CLAIMANTS (CLASS 5)

A note about the use of capitalized terms in this Claimant Information Guide:

When you see capitalized terms that are not otherwise defined, they have the meaning assigned to them in the following documents in the following order:

- 1. Amended Joint Plan
- Amended Disclosure Statement 2.
- Dow Corning Settlement Program and Claims Resolution Procedures
 Funding Payment Agreement
- 4.
- DCC Litigation Facility, Inc. Agreement (this document and the preceding ones in this list are collectively referred to as the "Plan Documents")
- Bankruptcy Code

Contact us at:

Settlement Facility-Dow Coming Trust P.O. Box 52429 Houston, Texas, 77052 (Toll Free) 1-866-874-6099

www.dcsettlement.com

December 2002

Q1-9. What are the criteria for a disability statement for ANDS or ACTD in Disease Option 1?

The payment amounts for ANDS and ACTD are based on the degree to which you are "disabled" by the condition in question, as determined by your treating physician or "Qualified Medical Doctor" (QMD) in accordance with the following guidelines. (Read Q4-3 for a definition of a treating physician and Q4-4 for a definition of a QMD.)

- The determination of disability will be based on the cumulative effect of the symptoms on the claimant's ability to perform her vocational, avocational, or usual self-care activities.
- 2. Vocational means activities associated with work, school and homemaking.
- 3. Avocational means activities associated with recreation and leisure.
- 4. Usual self-care means activities associated with dressing, feeding, bathing, grooming, and toileting.
- In evaluating the effect of your symptoms, the treating physician or QMD must take into account the level of pain and fatigue resulting from the symptoms.
- 6. The disability percentages for Levels "A," "B," and "C" (described at Q1-10 through Q1-12) are not intended to be applied with numerical precision, but are, instead, intended to serve as a guideline for the treating physician or QMD in the exercise of his or her professional judgment.
- Q1-10. What is the definition of Level "A" disability for ANDS and ACTD in Disease Option 1?

Read the criteria for ANDS and ACTD disability level "A" at Tab 1.

You are eligible for Level "A" disability for death or total disability resulting from your compensable disease or condition. You will be considered totally disabled if you demonstrate a functional capacity adequate to consistently perform none or only a few of your usual duries of activities of vocation or self-care.

In preparing a claim for a Level "A" disability, be aware that the definition of this assigned disability level is a difficult one to meet. You must be unable to do any of your normal activities or only able to do very few of them. Disability Level "A" claims will be reviewed to determine if there is a sufficient description of your daily life and limitations to determine that you meet this strict definition of total disability. It must also be clear in your submission that your total disability is due to the symptoms of your disease or condition and not to other medical conditions or injuries.

If your QMD determines that the death or total disability is clearly and specifically caused by a disease or occurrence other than the compensable disease or condition, the Level "A" disability determination will not be approved.

SECTION 3 - HOW TO APPLY FOR A DISEASE PAYMENT

Q3-1. Do I have to choose between Disease Option 1 and Disease Option 2 when I apply for a Disease Payment?

No. Simply check the box on the Claim Form indicating the disease or condition that you want to be evaluated for and submit supporting medical records for that disease or condition and a related disability or severity level.

Q3-2. If I receive a Disease Option 1 Payment, can I later receive payment for one (1) of the diseases or conditions in Disease Option 2?

No.

Q3-3. My disease is not on the list of eligible diseases or conditions in either Disease Option 1 or Disease Option 2. Can I still apply for a Disease Payment?

No: Not every disease or medical condition is covered by the Disease Option. If you do not have one (1) of the eligible diseases or conditions, then you cannot receive payment for your disease or condition.

Q3-4. It was diagnosed with Fibromyalgia. I don't see this on the list of eligible diseases or conditions in either Disease Option 1 or Disease Option 2. Can I still apply for a Disease Payment?

Fibromyalgia is not an eligible disease, so you cannot receive payment based solely on this diagnosis. Many - if not most - of the symptoms of Fibromyalgia though are listed in the criteria for Atypical Connective Tissue Disease (ACTD).

Q3-5. Can I rely on the medical records that I sem to the WDL Claims Office in Houston years ago, or do I have to resend these documents to the Settlement Facility?

You can rely on the records that you submitted to the MDL Claims Office in Houston, Texas. You do not have to re-submit any records.

Q3-6. I submitted medical records to the MDL Claims Office in 1994. Since that time, my condition has changed and I have new and additional records. Can I send those in and have them considered by the Settlement Facility?

Yes.

Q3-7. Can I get a copy of the medical records and documents that I submit to the Settlement Facility?

Keep a copy of the Claim Forms and documents that you submit. If you did not keep a copy, write or call the Settlement Facility to get a copy. Depending on the number of pages in your file, there may be a minimal copying charge.

S, F, D, C, T

P.O. Bex 53429 Houston, Texas 77052

June 1, 2004

DOW CORNING TRUST

Telephone 713.874.6099 888.874,6099

Redacted

We have completed the review of your Disease Claim. This Notification of Status (NOS) letter provides you with a recap of your Claim activity to date and the results of our disease review.

Disease Claim Review Results

Disease Reviewed	Disease	Compensation	Eligible for
	Approved	Level Approved	Payment
Atypical Connective Tissue Disease (ACTD) Option 1	Yes	None	No

Recap of Claim Activity

Your Proc	Claim Activity of Manufacturer: Ited documents that	reflect you were i	mplanted with the following breast im	plants:
Implant #	Data of kuplantation	Manufacturer	Type of Proof	Proof Evaluation
1	04/28/1989	Dow Coming	Hospital records of the implant surgery	ACCEPTABLE
2	04/28/1989	Dow Corning	Hospital records of the implant surgery	ACCEPTABLE
3	01/09/1990	Dow Coming	Hospital records of the implant surgery	ACCEPTABLE

DS-OL-5050

For assistance or questions call the Claims Assistance Program at 1.865.674.6099 (telt free) Or go to www.dcsettlement.com on the Internet

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DI.

You have one year from the date of the original Notification of Status letter to cure any deficiency in your Disease Claim. If you do not cure the deficiency within this deadline, then you will be barred from receiving payment for the same disease claim in the future. You may, however, submit another disease claim for a "new compensable condition that manifests after the conclusion of the one-year period..."

Annex A, §7.09(b)(ii)

Please read this letter carefully to understand the deficiencies in your Disease Claim. If you have questions or would like to schedule a time to speak about your Disease Claim, call Claims Assistance at the toll free number 1-866-874-6099. It is important for you to proceed with obtaining additional medical records while you wait for Claims Assistance to schedule a time to speak with you about your claim.

Your deadline to cure the deficiencies in your Disease Claim is June 1, 2005

Disease Claim Deficiencles - General:

You applied for ACTD. To determine what deficiencles we noted in your Disease Claim, please carefully read the attached "Disease Claim Deficiencies - General." <u>Each of these deficiencies must be cured before your claim can be approved.</u>

Disease Claim Deficiencies - Symptoms:

We have also provided you with specific deficiencies on the symptoms found in your file in the attached "Disease Claim Deficiencies - Symptoms." You may not need to cure all of these deficiencies as long as you submit additional medical records that adequately document enough symptoms to qualify. (For example, you may have 8 eligible symptoms noted in your medical records which are all deficient, but you do not need to cure all 8 symptom deficiencies; you only need 5 non-duplicative symptoms to qualify for ACTD.)

Disease Claim Deficiencles - Compensation:

In addition to meeting the requirements for the disease and specific symptoms, you must also provide documentation for a severity/disability level in order to be eligible for payment. The section of this Notification of Status letter labeled "Disease Claim Deficiencies — Compensation" details any deficiencies for your severity/disability level. If you are not approved for a compensation level or are approved at level lower than you requested, this section will give you specific information about your deficiencies.

Actions you may take if you are eligible for payment:

- Accept payment for any <u>approved</u> Disease Payment Claim by completing the Supplemental Disease Review Form and returning it to the Settlement Facility; or
- On or before one year from the date of the original Notification of Status letter, you can submit
 additional medical records to cure your deficiencies. To avoid confusion and possibly another
 review of your claim before you are ready, please do not send your records until you have
 collected all of them needed to cure the deficiencies; or
- If you do not take any action listed in the two options above, then we will automatically issue
 payment to you for any approved Disease Claim at the end of the Cure Deadline. (If you wish to
 receive payment earlier, please read the first action statement in this section.)

11 1

Actions you may take if you have deficiencies in your Disease Claim:

 On or before one year from the date of the original Notification of Status letter, you can submit additional medical records to cure your deficiencies; or

If you do not cure your deficiencies on or before one year from the date of this letter, then you will be barred from receiving payment for the same disease claim in the future. You may, however, choose the \$2,000 Expedited Release Payment (and waive all right to submit a Disease claim) or submit another disease claim for a "new compensable condition that manifests after the conclusion of the one-year period."

Claims Assistance Program

If you have questions or would like to schedule a time to speak about your Disease claim, call Claims Assistance at the toll free number 1-856-874-5099, or through electronic mail at info@sfdct.com. It is important for you to proceed with obtaining additional medical records while you wait for Claims Assistance to schedule a time to speak about your claim.

When submitting additional information to be reviewed in your Disease Claim, complete the enclosed "Supplemental Disease Review Form" in its entirety. Attach any medical records or other documents to this form. Please write your name and SID on any documents you submit.

Submit all Disease Claim correspondence to: Disease Claim Review The Settlement Facility-Dow Coming Trust P.O. Box 52429 Houston, Texas 77052

Sincerely,

Claims Operations Settlement Facility - Dow Coming Trust

CC:

End: Supplemental Disease Review Form

NOTIFICATION OF STATUS DISEASE CLAIM REVIEW

Date: June 1. 2004	Ŧ	Cure Deadline:	. Permo	4 2000	* * * * * * * * * * * * * * * * * * *
Name:	-	"One o the control	A Critical	He ALLEGE	F .
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Atypical Connective Tissue Disease (ACTD)/ Atypical Rheumatic Syndrome (ARS)/ Non-specific Autoimmune Condition (NAC)

Review of Disease Claim for Option 1 ACTD					
General Requirements		Approved			
Group	Symptoms in each Group	Status			
Group t	Keratoconjunctivitis Sicca Raynaud's Phenomenon	Approved Deficient			
Group II:	immune Mediated Skin Rash Myalgia	Approved Approved			
Group ill:	Burning pain/ Loss of function Chronic Fatigue Documented Arthralgia Persistent low grade fever or night sweats Mucosal Ulcer Sleep disturbance	Approved Approved Approved Approved Delicient Delicient			

To qualify for ACTD/ARS/NAC, you need one of the following combinations of approved signs and symptoms:

- Any two symptoms from Group I.
 Any one symptom from Group I, plus any one symptom from Group II.
 Any three symptoms from Group II.
 Any two symptoms from Group II, plus any one (non-duplicative) symptom from Group III.
 Any five non-duplicative symptoms from Group I, II, or III.

DS-OL-5050

Deficiencies in your ACTD Claim

DISEASE CLAIM DEFICIENCIES - GENERAL

The General Requirements Criteria contains no deliciencies.

DISEASE CLAIM DEFICIENCIES - SYMPTOMS

The Disease portion of your claim has been approved.

Review of Compensation Information for ACTD Compensation Level Approved in Disease Review: None

DISEASE CLAIM DEFICIENCIES - COMPENSATION

PRE-EXISTING DISABILITY:

Under the ACTD category, a Claimant will not be compensated for a disability related to a symptom that existed before the date of the first breast implant. The Settlement Facility is not permitted to credit those pre-existing symptoms.

Dr. Richard A. H. Jimenez, has assigned or described Level B disability. However, your medical records reflect documentation that your severe pain and limitations may be due to a cause other than ACTD. Specifically, your medical records dated 1983-09-26, 1984-07-05, 1984-12-31, 1985-10-16, 1987-01-09, 1987-07-13, 1988-01-19, and 1989-04-01 contain documentation that your recurring severe pain and/or inability to perform your activities may be related to bilateral shoulder pain, which existed before your first breast implantation, and cannot be credited.

Additionally, your medical records dating from 1989-10-30 thru 1992-12-08, as well as Dr. Jimenez's 1994 letter, reflect that you have continued to have bllateral shoulder pain. <u>Disability cannot be based on any symptom that existed prior to the first breast implantation, and the disability determination must be based on the current level of disability.</u>

In order for the SF-DCT to confirm Level B, you need to submit documentation of your daily life and familiations in performing two of the following: your activities of vocation, avocation, and self-care. Your documents must demonstrate a loss of functional capacity which renders you unable to perform some of your usual activities of vocation, avocation and self-care, or you can perform them only with regular or recurring severe pain. Your limitations or pain must be caused by the ACTD symptoms for which you have been approved.

DS-OL-5050

Severity / Disability Level Compensation for Option 1 ACTD

- Level A. Death or total disability resulting from the compensable condition. An individual will be considered totally disabled if she demonstrates a functional capacity adequate to consistently perform none or only few of the usual duties or activities of vocation and self-care.
- Level B. A Breast Implant Claimant will be eligible for category B compensation if she is 35 percent disabled due to the compensable condition. An individual shall be considered 35 percent disabled if she demonstrates a loss of functional capacity which renders her unable to perform some of her usual activities of vocation, avocation, and self-care, or she can perform them only with regular or recurring severe pain.
- Level C. A Breast implant Claimant will be eligible for category C compensation if she is 20 percent disabled due to the compensable condition. An individual shall be considered 20 percent disabled if she can perform some of her usual activities of vocation, avocation, and self-care only with regular or recurring moderate pain.

You may download a copy of the Settlement Facility Agreement, Annex A from our internet website at www.dcsettlement.com.
•

EH III III

Subj:

Pointer order re disability

Date:

11/23/2004 6:36:26 PM Eastern Standard Time

From:

DPEND440

To:

ewhuber@sfdct.com

CC:

dgreenspan@thefeinberggroup.com, marcus.worsley@dowcoming.com,

j.d.dodd@dowcoming.com, ewrich@dow.com, jschultz@nixonpeabody.com, Ehomsby@fphw-

law.com, Sybil G58

Wendy,

I wanted to follow-up with you on an issue we have discussed on many occasions to confirm my understanding of where we left things. On the issue of interpreting the Pointer order regarding disability, you provided us with a copy of the Pointer order by email on October 18, 2004. Folks on my side have read and re-read the Pointer order, and we can't make heads or tails of it. My notes reflect that there may be additional correspondence with Judge Pointer and Ann Cochran clarifying the Pointer order and possibly a decision from Frank Andrews as the Appeals Judge. Our questions are these: 1) Can you provide a copy of the correspondence and Andrews decision that clarifies the Pointer order? and 2). Can you tell us what the substantive criteria is that is being applied by the SF-DCT with regard to the disability issue? In other words, are you following the Pointer order and/or any modifications to the Pointer order and if so, are you requiring documentation of both vocation and self care or of only vocation or self care (which is what the Plan and CIG says)?

Thanks, Dianna

Subj:

Re: Pointer order re disability

Date:

11/24/2004 8:24:41 AM Eastern Standard Time

From: To: EWHuber@sfdct.com DPEND440@aol.com

CC:

dgreenspan@thefeinberggroup.com, marcus.worsley@dowcoming.com,

j.d.dodd@dowcoming.com, ewrich@dow.com, jschultz@nixonpeabody.com, Ehornsby@fphw-

law.com, sybilG58@aol.com, fa1@swbell.net, mcgovern@faculty.law.duke.edu,

APhillips@sfdct.com, ebearick@sfdct.com

This raises an issue we probably need to discuss together. The transmission of MDL-926 interpretation/ application/annotations to the parties. Is the Claims Administrator authorized to provide MDL-926 annotations to the parties? We will put this at the top of the agenda. Have a great Thanksgiving.

---Original Message--

From: DPEND440@aol.com

To: Elizabeth Trachte-Huber

Cc: Deborah Greenspan

Cc: Marcus Worsley

Cc: Jeanne D. Dodd

Cc: Edward W. Rich

Cc: Jill K. Schultz

Cc: Ernest "Ernie" Hornsby

Cc: Sybil Goldrich

Sent: Nov 23, 2004 5:36 PM

Subject: Pointer order re disability

Wendy,

I wanted to follow-up with you on an issue we have discussed on many occasions to confirm my understanding of where we left things. On the issue of interpreting the Pointer order regarding disability, you provided us with a copy of the Pointer order by email on October 18, 2004. Folks on my side have read and re-read the Pointer order, and we can't make heads or tails of it. My notes reflect that there may be additional correspondence with Judge Pointer and Ann Cochran clarifying the Pointer order and possibly a decision from Frank Andrews as the Appeals Judge. Our questions are these: 1) Can you provide a copy of the correspondence and Andrews decision that clarifies the Pointer order? and 2) Can you tell us what the substantive criteria is that is being applied by the SF-DCT with regard to the disability issue? In other words, are you following the Pointer order and/or any modifications to the Pointer order and if so, are you requiring documentation of both vocation and self care or of only vocation or self care (which is what the Plan and CIG says)?

Thanks, Dianna

Elizabeth W. Trachte-Huber, Esq. Claims Administrator/ C.E.O. Settlement Facility-Dow Corning Trust 3100 Main Street, Suite 700 Houston, TX 77002

S F D C T

SETTLEMENT FACILITY

P.O. Box 52429 Houston, Texas 77052 DOW CORNING TRUST

Telephone 713.874.6099 866.874.6099

June 14, 2004

SID:

MOTLEY RICE INC 28 BRIDGESIDE BOULEVARD P. O. BOX 1792 MOUNT PLEASANT, SC 29464 UNITED STATES OF AMERICA

Redacted

Disease Claim Review: Notification of Status Letter - Class 5 Re:

_ 176

We have completed the review of your Disease Claim. This Notification of Status (NOS) letter provides you with a recap of your Claim activity to date and the results of our disease review.

Disease Claim Review Results

Ahmical Connecting Tierre Sign (A 0777)	Disease	Compensation	Eligible for
	Approved	Level Approved	Payment
Atypical Connective Tissue Disease (ACTD) Option 1	No	С	No

Recap of Claim Activity

Your Proof of Manufacturer:

You submitted documents that reflect you were implanted with the following breast implants:

Implant#	Date of Implantation	Manufacturer	Type of Proof	Proof Evaluation
.1	03/01/1991	Dow Corning	Hospital records of the implant surgery	ACCEPTABLE



Deficiencies in your ACTD Claim

DISEASE CLAIM DEFICIENCIES - GENERAL

Under the ACTD category, no symptom is considered for the purposes of establishing ACTD if it existed before the date of the first breast implant. A review of your medical records contains documentation that the following Group III symptom(s) existed prior to the date of the first breast implant:

Mucosal ulcer

DISEASE CLAIM DEFICIENCIES - SYMPTOMS

In order to meet Settlement criteria for DOCUMENTED SLEEP DISTURBANCES, the Claimant's medical record(s) must document multiple instances of interference with normal sleep pattern, or an adequate description of the interference with normal sleep pattern.

Your medical record dated 1994-07-29 contains a notation of sleep disturbances. However, in order to receive credit for this symptom, you need to submit medical records or a clarification from your physician documenting a description of your sleep disturbance, or multiple instances of this symptom.

Review of Compensation Information for ACTD

Compensation Level Approved in Disease Review:

C

DISEASE CLAIM DEFICIENCIES - COMPENSATION

There are no ACTD Compensation deficiencies.

The review of your records confirms the compensation level noted above; however you are not eligible to receive compensation until you cure a sufficient number of symptoms to also qualify for disease or cure all deficiencies listed under General Requirements.



Rhett D. Klol Licensed in LA, TX, NM, S(DIRECT DIAL 843.216.921 DIRECT FAX 843.216.9430 RKlok@motleyrice.com

Redacted

December 16, 2004

VIA CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Disease Department Settlement Facility - Dow Corning Trust P.O. Box 52429 Houston, TX 77052

Re:

Claimant:

SSN:

SID:

Dear Claims Administrator:

Enclosed please find the signed Supplemental Disease Review Form, a copy of the Disease Notification of Status Letter, and the additional records needed to upgrade and cure the disease disability deficiency for the above-referenced claimant. If you should have any questions, please feel free to contact me at (843) 216-9419.

Sincerely,

Catherine Smeltzen Catherine Smeltzer

Paralegal

Enclosures



SUPPLEMENTAL DISEASE REVIEW FORM

Use this form to inform the Settlement Facility that you are accepting your approved Disease Claim or to submit additional medical records to cure the deficiency in your Disease claim.

PLACE YOUR LABEL HERE	
or	
<u>us</u>	PROVIDE UPDATES OR CORRECTIONS BELOW:
1. SIE Redacted	1. SID #:
2. Dai	2. Date of Birth:
3. Cla Remove this label and apply to each claim form you submit.	3. New Last Name:
4. Cla Date of Birth	4. New Address:
Social Security Number	
. Da	5. New Daytime Phone: ()
. Ev	6. New Evening Phone: ()
Attorney's Name/Address/Phone/Fax:	7. New Attorney's Name/Address/Phone/Fax:
other Rice LIC, 28 Bridgeside Blvd. T. Pleasant, Sc 29464 (543)216-9000 (ph	
Check only one box below and return if to the Die	タ) Sease Claim Review Department at the Settlement
2A. I accept the approved Disease payment.	sease claim Review Department at the Settlement
2B. I am enclosing additional medical records to cumust submit these records on or before my Cur Status letter) If I am unable to a supplier to the supplier t	re the deficiencies in my Disease claim. I understand that re Deadline (one year from the date of my Notification of ncies on or before the Cure Deadline and I have an ain in Disease Option 1, waive my Disease Option 2 claim
2C. I accept the \$2,000 Expedited Release Payment future.	t and waive all right to make a Disease claim now or in the
TICE: To avoid confusion and possibly another review send your records in until you have collected all of the idement Facility will not review more than two supplementant that you first collect all of your medical records the collect all of your medical records the most current information that medical records that show you no longer meet the prome finding or compared the same product.	ental submissions of medical records, so it is very before mailing them to the Settlement Facility. Also, available in your medical records. If you submit he disease and disability orthogo for medical facility.
TICE: To avoid confusion and possibly another review send your records in until you have collected all of the dement Facility will not review more than two supplementant that you first collect all of your medical records to the collect all your med	ental submissions of medical records, so it is very before mailing them to the Settlement Facility. Also, available in your medical records. If you submit he disease and disability orthogo for your submit

*Forms with invalid signatures will be returned unprocessed.

Redacted

December 7, 2004

Claims Administrator
Settlement Facility- Dow Corning Trust
P.O. Box 52429
Houston, TX 77052

RE:

SSN:

Dear Claims Administrator:

I am Board certified in allergy and immunology and licensed to practice medicine in the State of South Carolina. By this letter I am providing additional information regarding Ms. disability level to provide a clarification of my original diagnosis and opinion given under the guidelines set forth in the original global settlement.

Ms. suffers partial disability resulting from Atypical Connective Tissue Disease and qualifies for Compensation Category B. She demonstrates the functional capacity adequate to consistently perform only a few of the usual duties of vocation or activities of self-care. This is based upon the cumulative effect of the symptoms on Ms. ability to perform her self-care activities, and takes into account the level of pain and fatigue resulting from the symptoms. Ms. level of pain ranges from moderate to severe and significantly adversely affects her on a daily basis.

Ms. can perform only a few of the usual activities associated with work or homemaking. She is never without moderate to severe pain, primarily in her right breast, lower back and knees. Activity causes an increased pain level which is debilitating. She has moderate memory and cognitive dysfunction causing substantial difficulty remembering the names of friends and family members, words used on a frequent basis and tasks which need to be done on a daily basis.

Ms. is able to work, but only while enduring continued pain. For several years she has not been able to adequately perform her job as her physical and mental conditions progressively deteriorate. She has been able to work, but with reduced effectiveness.

Before becoming ill with ACTD symptoms, Ms. worked full time and maintained a clean, orderly home. Due to her progressively deteriorating condition, she cannot perform some household tasks any longer. Dusting, sweeping, vacuuming and clothes folding precipitate moderate pain. Cooking, dish washing, bed making, clothes washing and ironing trigger severe pain. She is no longer able to lift items or objects

weighing under 10 pounds. Ms. was formerly a more active person. Now she is able to participate in social and recreational activities, but only while experiencing musculoskeletal pain.

Ms. is able to perform self-care activities, but only while experiencing moderate to severe musculoskeletal pain. Dressing, grasping small objects such as buttons, zippers, buckles and other fasteners, bathing and personal hygiene trigger moderate pain. Raising her hands and arms above shoulder level to style her hair or apply cosmetics causes severe pain. She, therefore, no longer regularly cares for her appearance properly due to lack of energy and musculoskeletal pain.

Ms. does not sleep well. Driving, walking any distance, climbing stairs, bending over, kneeling and swimming trigger moderate pain. She is no longer able to participate in sexual activity. Ms. experiences difficulty in social interaction due to her musculoskeletal pain and cognitive dysfunction.

Her disability determination is based on the symptoms of Atypical Connective Tissue Disease; she qualifies for Compensation Category B with 35% disability.

Respectfully submitted,

Certified by the American Board of Allergy, Asthma and Immunology

- (b) Confidentiality. The Claims Office shall adopt procedures to maintain the confidentiality of all Claim files and Claimants' identities and shall not disclose such information to any person except to the extent provided herein or in the Settlement Facility Agreement.
- (c) Consistency and Fairness. As specified in the Settlement Facility Agreement, the Claims Administrator shall institute procedures to assure consistency of processing and of application of criteria in determining eligibility and to ensure fairness in processing of Claims and appeals and to ensure an acceptable level of reliability and quality control of Claims.
- (d) Access to Files. The Claims Office shall provide each Claimant (and/or her counsel) at the Claimant's cost with access to his/her file and shall maintain a system by which Claimants (and/or their counsel) can determine the current status of his/her Claim by contacting the Claims Office.
- (e) Claims Assistance. The Claims Administrator, with advice and input from the Claimants' Advisory Committee, shall develop, staff and maintain a program for providing claims assistance ("Claims Assistance Program"). This program shall be a part of the Claims Office, staffed by employees of the Claims Office, and is intended to provide assistance to all Claimants about Claims Office procedures, eligibility guidelines, submission requirements (including documentation required), deficiencies, appeal procedures, the status of a Claimant's Claim, processing requests to the Reorganized Dow Corning for individual acceptance of Proof of Manufacturer that have been classified as unacceptable by the Claims Office, and processing submissions to Dow Corning under the Individual Review Process for Rupture Claims outlined at Section 6.02(e)(vi) of these Claims Resolution Procedures. The Claims Assistance Program shall not represent Claimants, provide legal advice or serve as an advocate for Claimants.

7.02 Order of Processing.

- (a) *Proof of Manufacturer*. The Claims Office shall process a Breast Implant Claimant's Proof of Manufacturer submission before processing Disease Payment Option Forms. The Claims Office shall to the extent possible identify those Claimants who have previously alleged implantation of a Dow Corning Breast Implant.
- (b) Explant and Rupture Payment Options. The Claims Office shall record and process information, if applicable or if available from the Claimant's submission, about the proof for Explantation and Rupture Payment Options based on a review of the Proof of Manufacturer submission.
- (c) Other Payment Options. Breast Implant Claimants who have acceptable proof or a minor deficiency in their Proof of Manufacturer submissions may submit Disease Payment Option Forms. The Claims Office will not process Claims for Disease Payment Option benefits unless the Claimant has submitted acceptable (or has only a minor deficiency in) Proof of Manufacturer of a Dow Corning Breast Implant.

S,F,D,C,T

SETTLEMENT FACILITY DOW CORNING TRUST

P.O. Box 52429 Houston, Texas 77052

September 10, 2004

Telephone 713.874.6099 866.874.6099

SID:

MOTLEY RICE INC 28 BRIDGESIDE BOULEVARD P. O. BOX 1792 MOUNT PLEASANT, SC 29464 UNITED STATES OF AMERICA

Redacted

Disease Claim Review: Notification of Status Letter - Class 5 Re:

This letter reflects your current status following a review of the additional information you submitted for your Disease Claim. This Notification of Status (NOS) letter provides you with a recap of your Claim activity to date and the results of our disease review.

Disease Claim Review Results

	Disease Reviewed Atypical Connective Tissue Disease (ACTD)		Compensation Level Approved	Eligible for Payment	1
L	Option 1 To be eligible for any Disease Claim	Yes	None	[°] No	

To be eligible for any Disease Claim payment you must have <u>both</u> an approved Disease <u>and</u> a Compensation level.

Recap of Claim Activity

Your Proof of Manufacturer:

You submitted documents that reflect you were implanted with the following breast implants:

	implant#	Date of Implantation	1 44			
			Manufacturer	Type of Proof		
	1	08/09/1976		Hospital records of the implant	Proof Evaluation	7
			Dow Corning	Lipopital records of the implant	ACCEPTABLE	7
- 1	2	0010011111			ACCEPTABLE	ı
ı	2	08/09/1976	Dow Corning	Hospital records of the implant		ł
•			20111119	surgery	ACCEPTABLE	ı
	4			- V		ı

DS-5550

For assistance or questions call the Claims Assistance Program at 1.866.874.6099 (toil free)
Or go to www.dcsettlement.com on the internet

Deficiencies in your ACTD Claim

DISEASE CLAIM DEFICIENCIES - GENERAL

The General Requirements Criteria contains no deficiencies.

DISEASE CLAIM DEFICIENCIES - SYMPTOMS

The Disease portion of your claim has been approved.

Review of Compensation Information for ACTD

Compensation Level Approved in Disease Review:

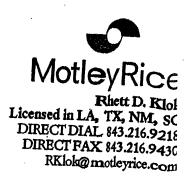
None

DISEASE CLAIM DEFICIENCIES - COMPENSATION

LEVEL B DISABILITY:

Dr. 3n 1994-09-06 assigned or described a Level B disability. However, you need to submit adequate documentation about your daily life and limitations in the following to confirm this level:

• performing your usual activities of vocation, avocation, and self-care or adequate documentation that you have regular or recurring severe pain when performing these activities in order to confirm Level B, you need to submit documentation of your daily life and limitations in performing two of the following: your activities of vocation, avocation, and self-care. Your documents must demonstrate a loss of functional capacity which renders you unable to perform some of your usual activities of vocation, avocation and self-care, or you can perform them only with regular or recurring severe pain.



Redacted

December 16, 2004

VIA CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Disease Department
Settlement Facility – Dow Corning Trust
P.O. Box 52429
Houston, TX 77052

Catherine Smittee

Re:

Claimant:

SSN:

SID:

Dear Claims Administrator:

Enclosed please find the signed Supplemental Disease Review Form, a copy of the Disease Notification of Status letter, and the additional records needed to upgrade and cure the disease disability deficiency for the above-referenced claimant. If you should have any questions, please feel free to contact me at (843) 216-9419.

Sincerely,

Catherine Smeltzer

Paralegal

Enclosures

SUPPLEMENTAL DISEASE REVIEW FORM

Use this form to inform the Settlement Facility that you are accepting your approved Disease Claim or to submit additional medical records to cure the deficiency in your Disease claim.

PLACE YOUR LABEL HERE	
or ,	
USI	PROVIDE UPDATES OR CORRECTIONS BELOW:
	MONO BELOW.
1. SID	1. SID #:
2. Date Pedacted	2. Date of Birth:
3. Clair	3. New Last Name:
Remove this label and apply to each claim form you submit.	4. New Address:
Date of Birth	
Telephone Number Social Security Number	5. New Daytime Phone: ()
. Ever	6. New Evening Phone: ()
Attorney's Name/Address/Phone/Fax:	7. New Attorney's Name/Address/Dhone/Form
tother Rice LLC, 28 Bridgeside Blvd. T. Phasent, Sc 29464 (843)216-900 (ph.)	
Chack and was 1 (843)216-900 (ph.)	
Office Offiy one box below and refurn it to the Di	sease Claim Review Department at the Settlement
acility on or before your Cure Deadline. 2A. I accept the approved Disease payment.	
2B. Lam enclosing additional months.	
must submit these records on or before my Cu	ure the deficiencies in my Disease claim. I understand that
Status letter). If I am unable to our the desi-	of the Jean Holl the date of my Notification of
approved Disease Option 1 claim, I wish to remand be paid without any penalty.	encies on or before the Cure Deadline and I have an nain in Disease Option 1, waive my Disease Option 2 claim
2C. Laccent the \$2,000 Famouting to	Chair
future.	nt and waive all right to make a Disease claim now or in the
send your records in until you have collected <u>all</u> of the tilement Facility will not review more than two supplements.	ew of your Disease claim before you are ready, please do
Hement Facility will not review more than the	to care are deliciencies. Generally the
ortant that you first collect all of your medical records ease claims are based on the most current information	before mailing them to the Settlement Eastle.
itional modical records that the most current information	Tavanable in your medical records. If you submit
idulal iliculual lecoras mat engu unu ma laman	and disease and disability criteria for a previously credited
Dtom, finding or compensation level they want to	
Dtom, finding or compensation level they want to	ly before you submit them
DIOM: finding or compensation lovel they want to	ly before you submit them.
ptom, finding or compensation level, then your Notificate review your supplemental medical records carefull	ly before you submit them.

*Forms with invalid signatures will be returned unprocessed.



Reducted

December 7, 2004

Claims Administrator Settlement Facility- Dow Corning Trust P.O. Box 52429 Houston, TX 77052

RE:

SSN:

Dear Claims Administrator:

I am Board certified in allergy and immunology and licensed to practice medicine in the State of South Carolina. By this letter I am providing additional information regarding Ms. disability level to provide a clarification of my original diagnosis and opinion given under the guidelines set forth in the original global settlement.

- Ms. suffers total disability resulting from Atypical Connective Tissue Disease and qualifies for Compensation Category A. She demonstrates the functional capacity adequate to consistently perform only a few of the usual activities of self-care. This is based upon the cumulative effect of the symptoms on Ms. ability to perform her self-care activities, and takes into account the level of pain and fatigue resulting from the symptoms. Ms. evel of pain ranges from moderate to severe and significantly adversely affects her on a daily basis.
- Ms. can perform only a few of the usual activities associated with homemaking. She is never without moderate to severe pain, primarily in her chest, right elbow and right knee. She, also, has thoracic, lumbar and sacroiliac joint degenerative osteoarthritis. Activity causes an increased pain level which is completely debilitating. She has severe memory and cognitive dysfunction causing significant difficulty remembering the names of friends and family members, words used on a frequent basis and tasks which need to be done on a daily basis. She suffers extreme fatigue exacerbated with any activity. She is only able to sleep several hours at night and is unable to stay awake during the day. She even falls asleep with food in her mouth.
- Ms. has been unable to perform any job regularly since age 65. For several years she had not been able to adequately perform her job as her physical and mental conditions progressively deteriorated.

Before becoming ill with ACTD symptoms, Ms. was able to be productively employed and maintained a clean, orderly home. Due to her progressively deteriorating condition, she is unable to do any household tasks. She can no longer

dust, sweep, vacuum, cook, wash dishes, make beds, or do any aspect of the laundry. Previously her social activities were limited to attending church, constructing crafts and doing needlework. She is no longer able to attend church; Ms. can no longer perform activities requiring manual dexterity due to her severe hand tremor.

Ms. is able to perform only a few self-care activities. Some days she is able to do nothing more than feed herself. Dressing, bathing and personal hygiene trigger severe pain. Feeding herself causes severe pain. She is no longer able to style her hair or apply cosmetics due to hand tremor and severe musculoskeletal pain. She, therefore, no longer regularly cares for her appearance properly due to lack of energy and musculoskeletal pain.

Ms. experiences poor quality sleep, being able to sleep only a few hours a night. Driving and walking short distances cause severe pain. She is no longer able to climb stairs, bend over, kneel, have sex or lift items weighing more than 10 pounds due to musculoskeletal pain and fatigue. Ms. avoids social interaction due to her debilitating musculoskeletal pain, excessive fatigue and severe cognitive dysfunction.

Her disability determination is based on the symptoms of Atypical Connective Tissue Disease; she qualifies for Compensation Category A with 100% disability.

Respectfully submitted,

Certified by the American Board of Allergy, Asthma and Immunology



DOW CORNING TRUST

P.O. Box 52429 Houston, Texas 77052

January 12, 2005

Telephone 713.874.6099 866.874.6099

SID:

MOTLEY RICE INC 28 BRIDGESIDE BOULEVARD P. O. BOX 1792 MOUNT PLEASANT, SC 29464 UNITED STATES OF AMERICA

Redacted

Disease Claim Review: Notification of Status Letter - Class 5 Re:

This letter reflects your current status following a review of the additional information you submitted for your Disease Claim. This Notification of Status (NOS) letter provides you with a recap of your Claim activity to date and the results of our disease review.

Disease Claim Review Results

Disease Reviewed	Disease	Compensation	Eligible for
	Approved	Level Approved	Payment
Atypical Connective Tissue Disease (ACTD) Option 1	Yes	None	No

To be eligible for any Disease Claim payment you must have <u>both</u> an approved Disease <u>and</u> a Compensation level.

Recap of Claim Activity

Your Proof of Manufacturer:

You submitted documents that reflect you were implanted with the following breast implants:

implant#	Date of Implantation	Manufacturer	Type of Proof	Proof Evaluation
1	08/09/1976	Dow Corning	Hospital records of the implant surgery	ACCEPTABLE
2	08/09/1976	Dow Corning	Hospital records of the implant surgery	ACCEPTABLE

Deficiencies in your ACTD Claim

DISEASE CLAIM DEFICIENCIES - GENERAL

The General Requirements Criteria contains no deficiencies.

DISEASE CLAIM DEFICIENCIES - SYMPTOMS

The Disease portion of your claim has been approved.

Review of Compensation Information for ACTD

Compensation Level Approved in Disease Review:

None

DISEASE CLAIM DEFICIENCIES - COMPENSATION

MISSING RECORDS:

All documents referred to by the QMD as having been used to make a disability determination must be submitted.

LEVEL B DISABILITY:

We acknowledge receipt of the updated disability determination dated 2004-12-07 form Dr.

However, we are unable to accept this statement as a disability determination because supplemental statements submitted must include copies of current treating physician records or a copy of an examination during the same time the statement was written.

In order to cure this deficiency, please submit either a copy of current medical records or a recent examination as noted in the paragraph above.

Per Annex-A, Section 7.01(c), to ensure an acceptable level of reliability and quality control of Claims, supplemental statements submitted must include copies of current treating physician records or a copy of an examination performed by a qualified medical doctor (QMD) as defined in Annex A, Schedule II, Part A during the same time period as the statement was written.

January 25, 2005



Rhett D. Klo.
Licensed in LA, TX, NM, St.
DIRECT DIAL 843.216.921:
DIRECT FAX 843.216.9430
RKlok@motleyrice.com

VIA CERTIFIED MAIL

Settlement Facility – Dow Corning Trust
Disease Department
P.O. Box 52429
Houston, TX 77052

Houston, TX 77052

Re:

Supplemental Disease Review

SSN: SID:

Dear Sir or Madam:

Enclosed please find additional records for Also enclosed is the Supplemental Disease Review form. If you should have any questions, please feel free to contact me at (843) 216-9368.

With kindest regards, I am

Danalitined

Sincerely yours,

Dana Weinert Paralegal

Enclosures

www.motleyrice.com

Motley Rice, LLC Attorneys at Law MT. PLEASANT

28 BRIDGESIDE BLVD, P.O. BOX 1792 MT. PLEASANT, SC 29465 843-216-9000 843-216-9450 FAX BARNWELL

1750 JACKSON ST. P.O. BOX 365 BARNWELL, SC 29812 \$803-224-8800 803-259-7048 FAX PROVIDENCE

321 SOUTH MAIN ST. P.O. BOX 6067 PROVIDENCE, RI 02940 401-457-7708 FAX NEW ORLEANS

9701 LAKE FOREST BLVD. NEW ORLEANS, LA 70127 504-245-1612 504-245-1816 FAX HARTFORD

One Corporate Center 20 Church St., 17th Floor Hartford, CT 06103 860-882-1681 860-882-1682 fax

SUPPLEMENTAL DISEASE REVIEW FORM

Use this form to inform the Settlement Facility that you are accepting your approved Disease Claim or to submit additional medical records to cure the deficiency in your Disease claim.

	mation.
PLACE YOUR LABEL HERE	
OF WRITE IN YOUR INFORMATION	J.
, 	PROVIDE LIPDATES OF CORPORATION
13070/1007 1000 1000 1000 1000 1000 1000 1	ACKET ACKET
	1. SID #:
Redacted	2. Date of Birth:
	3. New Last Name:
Remove this label and apply to each claim form you submit.	4. New Address:
Date of Birth	
Telephone Number Social Security Number	5. New Daytime Phone: ()
	6. New Evening Phone: ()
Attomey's Name/Address/Phone/Fax:	
Attorney's Name/Address/Phone/Fax: DHOU LICE UC 28 Bridgeside	Brid
H. Plasantisc 29465 813	-216-9000 -216-9430
Check only one box below and return it i	つける the Disease Claim Review Department at the Settlement
acility on or before your Cure Deadline.	to the disease Claim Review Department at the Settlement
2A. I accept the payment I am eligible to r	receive (any Disease Payment Claim that is approved for both
or your Notification of Status Letter)	o with a payment, see the chart on Page 1
2B. I am enclosing additional medical reco	ords to cure the deficiencies in my Disease claim. I understand that
Status letter) If Lam unable to	ords to cure the deficiencies in my Disease claim. I understand that re my Cure Deadline (one year from the date of my Notification of the deficiencies on or before the Cure Deadline.
approved Disease Option 1 claim 1 with	he deficiencies on or before the Cure Deadline and I have an
and be paid without any penalty.	The state of the first of the state of the s
2C. I accept the Expedited Release Payme	ent and waive all right to make a Disease claim now or in the
TICE: To avoid confusion and possibly anot	ther review of your Disease claim before you are ready, please do
and the second s	t all of them pooded to the ready, please do
send your records in until you have collected	union them needed to cure the deficiencies.
ortant that you first collect all of your man it	supplemental submissions of medical records, so it is year.
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*Forms with invalid signatures will be returned unprocessed.



Redacted

December 7, 2004

Claims Administrator Settlement Facility- Dow Corning Trust P.O. Box 52429 Houston, TX 77052

RE:

SSN:

Dear Claims Administrator:

I am Board certified in allergy and immunology and licensed to practice medicine in the State of South Carolina. By this letter I am providing additional information regarding Ms. disability level to provide a clarification of my original diagnosis and opinion given under the guidelines set forth in the original global settlement.

Ms. suffers total disability resulting from Atypical Connective Tissue
Disease and qualifies for Compensation Category A. She demonstrates the functional
capacity adequate to consistently perform only a few of the usual activities of self-care.
This is based upon the cumulative effect of the symptoms on Ms. ability to
perform her self-care activities, and takes into account the level of pain and fatigue
resulting from the symptoms. Ms. level of pain ranges from moderate to severe
and significantly adversely affects her on a daily basis.

Ms. can perform only a few of the usual activities associated with homemaking. She is never without moderate to severe pain, primarily in her chest, right elbow and right knee. She, also, has thoracic, lumbar and sacroiliac joint degenerative osteoarthritis. Activity causes an increased pain level which is completely debilitating. She has severe memory and cognitive dysfunction causing significant difficulty remembering the names of friends and family members, words used on a frequent basis and tasks which need to be done on a daily basis. She suffers extreme fatigue exacerbated with any activity. She is only able to sleep several hours at night and is unable to stay awake during the day. She even falls asleep with food in her mouth.

Ms. has been unable to perform any job regularly since age 65. For several years she had not been able to adequately perform her job as her physical and mental conditions progressively deteriorated.

Before becoming ill with ACTD symptoms, Ms. was able to be productively employed and maintained a clean, orderly home. Due to her progressively deteriorating condition, she is unable to do any household tasks. She can no longer



•,	Name:	
ŕ	Address: Redacted	
	SSN:	ž
• . •,	Date: 6-01-04	•
-> 75ta	Qualified Medical Doctor reported symptoms: [Type in the compensable symptoms listed in the clients expert opinion re	Dorf.1
]	How have the medical problems listed above from	Diobeles As
•	How have the medical problems listed above from your breast implants aff a) Work? I have been wable to war	fected your daily life:
·	age 65.	art Since
,	b) Caring for self/family? Some Stays I Can	
•	Anything but feel might	Not do
	c) Household Tasks? I CAN MYSELF	
	Household Tasks? I CAN NOT do Any	household
	d) Social/Recreational Activities? Not able to Atte	end church
Has a	any of the following happened since you have had the problem	5714
	a). Get fired from or quit a job? If so, when and why?	2_NA
<i>:</i>	b) Change jobs because unable to do old job? Describe:	
	- 1901. W//	
C	Reduce the number of hours you worked? Describe:	

Disability Form Page 2

a)	Reduce the numbers of hours you do housework? Describe:
•	I Am Not able to do ANy housework.
e)	change the number of hours you sleep? Describe:
	Sevenal
f)	of day tille notice you mad? The standard of t
-	I even FAII asteep With Food in my mouth.

** Please indicate how medical problems on the previous pages have affected you. Use the following numeric chart to determine the severity of your pain.

- 1. As Well As Ever (Fine)
- 2. With Mild Pain Some of the Time
- 3. With Mild Pain All of the Time
- 4. With Moderate Pain on Rare Occasions
- 5. With Moderate Pain Some or Most of the Time
- 6. With Moderate Pain All of the Time
- 7. With Severe Pain on Rare Occasions
- 8. With Severe Pain Some or Most of the Time
- 9. With Severe Pain All of the Time
- 10. Can't perform this task at all

Stand	•		T.	7				-	-			
Sit			1-	12	-		5	6	7	(3	310	T
Write			1	2	3	14	5) 6	7	8	19	1
Read			1	2	3	14	5	86) 7	8	9	1
Dust			1	2	3	14	5	16	7	8	9.	1
Sweep			1	2	3	4	5	6	7	8	9	Ti di
Vacuum		-	1	2	3	4	5	6	7	8	9	Xo
Cook		_ .	1	2	3	4	5	6	7	.8		100
Wash Dishes	***************************************	4	1	2	3	4	5	6	7	8	-	10
Make Beds		1	4	2	3	4	5	6	7	8		(10)
Wash Clothes		1		2	3	4	5	6	7.	8		70/
Iron Clothes		$\frac{1}{1}$	1		3	4	5.	6	7			10
Fold Clothes		$\frac{1}{1}$	12	13		4	5	6	7	8 9		3
Lift Under 10lbs		11	12	3	1	1 !	5 6	5	,	, 19		5
Lift 10-20 bs	,	1-	12	3	14	5	10	017	8	9		
List Over 20lbs		1	2	3	14	5	6	7	100	9 9	dia	0
Work At My Job		1	2	3	14	5	6	7	-	9	10	
Work At Any Job		1	2	3	14	5	6	7	8	9	10	5)
Grasp Small Objects		1	2	3	14	5	6	7	8	9	18	
Knit		1	2	3	4	5	6	7	8	9	10	\exists
Crochet	·	1	2.	3	4	5	6	7	8	9.	10	7
Do Needle Work		1	2	3	4	5	6	7	8	9	10	7
Do Crafts			2	3	4	5	6	7	8	9 0	10	7
Do Hobbles		-		3	4	5	6	7	8	9	10	
laise Arms Above Waist		1			4	5	6	7	8	9	10	\$
alse Arms Shoulder Level	1	-	-	-	4	5	6	7	8	9	10	1
alse Arms Over Head	1	$\frac{ }{ }^2$	-	- -	1	5	6	7	8	9 1	10	
ish Objects		$\frac{ }{ }^2$	3	14		5	5	7 6		9 1	0	
Il Objects		2	3	4	15	6	17	1 8	5	一个	N N	
ess Myself	1	2	3	14	5	6	7	8	7,	1		
A	1	2	3	14	5	6	17		1			

Shower/Bathe Myself			T.	T	T.	Ŧ			_	<u> </u>			
Other Personal Hygiene	· · · ·		1	12	13	+	4	5	6	17		8	
Feed Myself			1	2	3	1	4	5	6	7	8	J.	10
Eat			1	2	3	Ŀ		5	6	7	8	7	10
Curl My Hair		_	1	2	3	Ŀ	\sqcup	5	6	7	8	79	10
Remember Names		4	1	2	3	14		5	6	7	8	9	10
Remember Words I Once Used			1	2	3	4	1	5	6	7	8	9	10
Remember Things I Need To Do		+		2	3	4	1:		6	7	8	9	10
Drive		1			3	4	5		6	7	8	9	10
Walk		4			3	4	5	1	5	7	8	1	10
Run		1	- -			4	5	10	_ _	7	8	(3)	10
Climb Stairs		1	12			4	.5	6	1	7	8	9	10
Bend Over		1	$\frac{ ^2}{ }$	-		4	5	6	17		8.	9 (10
Kneel	· .	1	12	3	- -	-	5	6	17		8	9	10
Swim		1	$\frac{ 2 }{2}$	3			5	6	17	1	8	9	10)
Have Sex	· ·	1	2	3	14	\dashv	5	6	17		B	Y	10.9
Provide For Care Of Children		1	$\frac{ 2 }{2}$	3	14	-	5	6	7	18	3	9	10
Breast Feed		<u>.</u> 1	2	3	14	4	5	6	7	8			10
Socialize With Others		1	2	$\frac{3}{3}$	14	+	5	6	7	8	-15	_	0
o Recreational Activities			2	3	4	╁	5	6	7	8	4		0
ardening/Yard Work		-	2	3	4	┨	5	6	7	8	19	4	
o Leisure Activities	$-\frac{1}{i}$	\dashv	2	3	1	5		6	7	8	9	-4-	
ctivities Not Listed Above:		-	2	3	4	5	-	6	7	8	9	TO	

Date 06-03-04

Signature_

Print Name_



ADULT/PEDIATRIC ALLERGY AND ASTHMA CENTER

Reducted

September 6, 1994

DIAGNOSIS REPORT AND OPINION CONCERNING

I have the information necessary for a professional opinion about this patient's disability and have set forth in this diagnosis the information upon which that opinion is based and the source of that information.

I have reviewed the chart of who had bilateral breast prosthesis implantation on 08/09/76 following bilateral mastectomies for fibrocystic disease. Right implant was on 07/09/89.

By history she has experienced progressively worsening symptoms to include discharge diagnosis following hospitalization at Methodist Medical Center on 12/21/87 as 08/90; right knee pain starting 10/03/90; chest pain starting 09/18/91; depression and poor quality sleep starting 11/11/91; numbness in digits 2, 3 and 4 of both hands starting 12/23/91; poor concentration, cognitive dysfunction and numbness of the

Documented findings include biopsy report of right breast mass biopsy on 03/29/89 - benign fibrous tissue with foreign body reaction consistent with reaction to silicone; x-ray dated 07/29/90 degenerative osteoarthritic changes in the elevated and lumbar spine as well as in the sacroiliac joints; 0.8) on 12/17/90; positive tinel's sign right hand on 12/23/91; diagnosed as having carpal tunnel syndrome on 12/21/92; elevated CPK of 440 IU/L (normal 22-269 IU/L) on 12/18/87.

These findings support diagnostic criteria in paragraphs B3a, B6b, B6f, B7a, B8e, C1, C5, C11, and C18.

Based on these signs and symptoms and review of the patient's medical history I can say with a reasonable degree of medical certainty that this patient is suffering from atypical connective tissue disease; she fulfills Category B for 35% disability.

Respectfully submitted,

Certified by the American Board of Allergy and Immunology



SETTLEMENT FACILITY DOW CORNING TRUST

P.O. Box 52429 Houston, Texas 77052

March 02, 2005

Telephone 713.874.6099 866.874.6099

ala

SID:

MOTLEY RICE INC 28 BRIDGESIDE BOULEVARD P. O. BOX 1792 MOUNT PLEASANT, SC 29464 UNITED STATES OF AMERICA

Redacted

Disease Claim Review: Notification of Status Letter - Class 5 Re:

This letter reflects your current status following a review of the additional information you submitted for your Disease Claim. This Notification of Status (NOS) letter provides you with a recap of your Claim activity to date and the results of our disease review.

Disease Claim Review Results

Disease Reviewed	Disease	Compensation	Eligible for
	Approved	Level Approved	Payment
Atypical Connective Tissue Disease (ACTD) Option 1	Yes	None	No

To be eligible for any Disease Claim payment you must have <u>both</u> an approved Disease <u>and</u> a Compensation level.

Recap of Claim Activity

Your Proof of Manufacturer:

You submitted documents that reflect you were implanted with the following breast implants:

Date of Implantation	Manufacturer	Tyme of Proof			
08/09/1976			Proof Evaluation		
	Dow Corning	riospital records of the implant	ACCEPTABLE		
	3	surgery	ACCEPTABLE		
08/09/1976	Dow Comins	Hospital records of the implant			
	Dow Coming	· ·	ACCEPTABLE		
		08/09/1976 Dow Corning	08/09/1976 Dow Corning Hospital records of the implant surgery		

Deficiencies in your ACTD Claim

DISEASE CLAIM DEFICIENCIES - GENERAL

The General Requirements Criteria contains no deficiencies.

DISEASE CLAIM DEFICIENCIES - SYMPTOMS

The Disease portion of your claim has been approved.

Review of Compensation Information for ACTD

Compensation Level Approved in Disease Review:

None

DISEASE CLAIM DEFICIENCIES - COMPENSATION

We acknowledge receipt of the letter from your attorney dated 2005-01-25, a copy of Dr. letter dated 2004-12-07, a copy of the disability questionnaire completed by you, and a copy of Dr. QMD letter of 1994-09-06.

However, the Settlement Facility is unable to confirm your disability based on the documents submitted. Specifically, in his letter of 2004-12-07 Dr. did not indicate that he used the information submitted by you in assigning your level of disability. Additionally, your disability questionnaire does not meet the Settlement's criteria of medical records submitted by a treating physician as is required. Please refer to the paragraph below for further information.

Per Annex A, § 7.01(c), to ensure an acceptable level of reliability and quality control of Claims, supplemental statements submitted must include copies of current treating physician records or a copy of an examination performed by a qualified medical doctor (QMD) as defined in Annex A, Schedule II, Part A during the same time period as the statement was written.

Therefore, the Settlement Facility is still unable to confirm your disability. In order to cure this deficiency, please submit a disability statement which includes an examination by a QMD or copies of the current treating physician's medical records.

SCHEDULE II MEDICAL CONDITIONS AND CHARACTERISTICS OUTLINE OF DEFINITIONS AND CLASSIFICATION CRITERIA

PART A. DISEASE AND DISABILITY/SEVERITY DEFINITIONS: DISEASE PAYMENT OPTION 1

GENERAL GUIDELINES

The following are general guidelines, which are adopted from and are intended to be applied consistently with the Revised Settlement Program and interpretations thereof, to be used in the submission and evaluation of a Claim for compensation under Disease Payment Option I:

There are two ways to document a claim for Disease Payment Option I compensation:

(a) a Claimant can provide a statement or diagnosis from a physician Board-certified in an appropriate specialty, together with the medical records upon which that statement or diagnosis is based or (b) a Claimant can provide the medical records that, themselves, will enable the Claims Office to place the Claimant on the Disease Payment Option I Schedule.

A Claimant should submit all records that contain information relevant to the criteria for Disease Payment Option I, including (1) records relating to the relevant signs, symptoms, findings and test results set forth in Disease Payment Option 1 and (2) records showing the severity of a Claimant's disease or, if applicable, a determination of disability level by either a Qualified Medical Doctor or the Claimant's treating physician. In general, whatever the physician relied upon in arriving at the diagnosis and findings in the statement or diagnosis should be provided. Typically, this might include a patient questionnaire, physical findings obtained from an assistant's notes in the office chart, and certain lab or other test reports. If the doctor needed to review earlier medical records obtained from other physicians to make a definitive statement about the Claimant's condition or disability, then those records must also, if available, be submitted. If, however, based on an examination of the Claimant, the physician has first-hand knowledge of everything that is the basis for his or her opinion, and the statement or diagnosis sets out that knowledge in sufficient detail, it is possible that no additional records will be required.

As used herein, the term "Qualified Medical Doctor" or "QMD" means a physician who is Board-certified (not Board-eligible) in internal medicine, rheumatology (a sub-specialty of internal medicine), neurology, neurological surgery, or immunology who prepares the statement or diagnosis that the Claimant must file in support of a Disease Payment Option I Claim. Only a Board-certified physician can submit the statement or diagnosis of one of the compensable diseases included in Disease Payment Option I. The physician writing a statement or diagnosis of one of the compensable diseases in Disease Payment Option I must be Board-certified in an appropriate specialty. The type of specialty depends on the complaints and symptoms with which a Claimant presents. "Board-certified" means certification in a particular medical specialty by the American Board of Medical Specialists. A Doctor of Osteopathy can be a Qualified Medical Doctor if he or she is Board-certified by the same Board that certifies Medical Doctors. A Doctor of Osteopathy may also submit diagnoses or disease compensation claims so long as his or her certification is within an appropriate specialty.

Schedule II to Annex A - Medical Conditions and Characteristics

The Claims Office is authorized to determine whether physicians in other countries have degrees or certifications that are the equivalent of those accorded in the United States and should therefore be treated as Qualified Medical Doctors. The Claims Office shall determine which certification systems of foreign countries are the equivalent of U.S. Board certification using the procedures applied by the MDL 926 Claims Administrator in the Foreign Settlement Program.

As used herein, the term "treating physician" is one who has seen, examined, and treated the Claimant on several occasions, and not a doctor whom the Claimant has seen only for purposes of getting an evaluation to make a claim under this Disease Payment Option. Treating physician includes a Qualified Medical Doctor if such Qualified Medical Doctor states that he or she has the information necessary to form a professional opinion about the Claimant's disability and sets forth in the statement or diagnosis (or in a supplemental statement) the information upon which that opinion is based and the source of that information.

As used herein, the term "documented" means that it is based on some reliable information other than simply the Claimant's complaint or oral history. For some symptoms, "documented" means that the physician has verified the symptom on physical examination or through a lab test. For others, primarily those that are entirely subjective, it can mean that the physician has performed a physical examination and questioned the Claimant sufficiently to be able to form a professional opinion, utilizing all that doctor's knowledge and training, that the complaint is a valid one. (In this situation, it is important that the physician relying on these complaints does not qualify the diagnosis by stating that these "findings" are based solely on the patient's history given at the time of the single visit to the Board-certified specialist. The physician needs to feel confident in concluding that the problems do indeed exist.) "Documented" can also mean that written notations of that symptom are found several places in the Claimant's medical records. Thus, to show that a symptom is "documented," a Claimant can submit (1) proof of verification of the symptom through physical examination; (2) a statement from the Claimant's QMD revealing that (s)he questioned the Claimant sufficiently about the symptom and concluded that the complaint is valid; or (3) medical records reflecting that the Claimant had complained about this symptom on other occasions.

To the extent the severity of a Claimant's disease is based on a disability rating, as defined herein, the Claimant must submit all of the records that the physician relied upon in making his or her disability determination. This would include, as an example, any disability questionnaire that the Claimant completed in order to assist in the physician's determination. A non-Board-certified treating physician can provide a disability determination.

In preparing submissions for Disease and Disability Option 1 and in curing any deficiencies that may be noted when the submission is processed, Claimants and their physicians (and their counsel if applicable) should be aware that the disability must be related to the compensable condition. That is, the pain must be due to the Claimant's Atypical Connective Tissue Disease or Atypical Neurological Disease. Thus, a threshold requirement in evaluating a disability submission is whether the Claimant's qualifying symptoms are ones such as alopecia, chronic fatigue, or loss of breast function that normally have no pain component. A disability determination cannot be approved unless there is evidence that the Claimant is experiencing pain

Schedule II to Annex A - Medical Conditions and Characteristics

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5. Information contained in the Claimant's documents indicates that the disability determination is inconsistent with the disease criteria of Schedule II, Part A.

The Claimant's QMD or treating physician made a determination of the Claimant's disability, but information about the Claimant's pain or limitations on his/her activities (either in the QMD's statement or elsewhere in the Claimant's records) conflicts with the requirements for that disability level. This deficiency can possibly be cured by a statement from the Claimant's QMD or treating physician assigning a disability level that is appropriate for the Claimant's condition or providing information about the Claimant's disability that is consistent with criteria for that level. If the Claimant's supplemental documentation provides new information in support of the disability level the Claimant originally claimed, the Claimant should provide an explanation for the contradictory information submitted earlier.

6. The Claimant's documents contain insufficient information about the Claimant's condition to evaluate whether the disability determination is consistent with disease criteria of Schedule II, Part A.

Although the Claimant's QMD or treating physician made a determination of the Claimant's disability, there is not enough information in the Claimant's file to allow the Claims Office to determine if that disability level was appropriately assigned by the physician. This deficiency can be cured by providing a supplemental statement from the Claimant's treating physician or QMD describing the Claimant's level of pain or limitations on his/her activities. If the Claimant's disability is caused in part by a disease or condition that is not compensable under Disease Payment Option I, the Claimant can only be approved for the level of his/her disability that is caused by the Covered Condition. In that situation, the Claimant should make sure that in describing the Claimant's Covered Condition, the physician clearly indicates the extent of the Claimant's disability caused by the Covered Condition covered by Schedule II, Part A.

7. Information contained in the Claimant's documents indicates that the Claimant is no longer disabled by a Covered Condition.

The Claimant's documentation clearly indicates that the Claimant is no longer suffering from any earlier disability the Claimant may have had. This deficiency can only be cured if the Claimant is once again disabled. The Claimant should provide a statement from her QMD or treating physician describing the Claimant's current disability and explaining the change from her earlier-reported condition.

8. The Claimant's documents did not contain a determination by a treating physician or QMD of the Claimant's disability.

MEMORANDUM

TO:

E. Wendy Trachte-Huber

FROM:

Debby Greenspan

DATE:

November 19, 2001

RE:

Pending Questions re: Q and A Booklets

1. Disease Q1-10 - Question regarding A level disability/severity. Question states that Judge Pointer changed the language of the A level disability category such that the language would read "a functional capacity adequate to consistently perform none or only a few of the usual duties or activities of vocation AND self care" - as opposed to "OR self care".

Response: We do not believe that Judge Pointer issued an order changing the wording of the disability guideline. To the extent that Judge Pointer or the MDL Claims Office has interpreted the meaning of the guideline through annotations or other examples, the Settlement Facility is required to apply those interpretations.

2. Disease Q 1-11 - Question regarding wording - should the word "severe" be inserted before the word pain in the definition of level B disability/severity.

Response: yes.

3. Disease Q 4-7. Question is "Can a doctor who is not board certified write my disease diagnosis and/or disability statement?" Question posed is whether the answer is correct since the answer states that "Only Board certified physicians can submit the statement or diagnosis".

Response: The question should be revised to delete the words "and" and "disability" - so that it will read "Can a doctor who is not board certified write my disease diagnosis or statement?"

4. Disease 4-8. The inquiry indicates that there is a typo and that the phrase "D.O.s should be "D.O.'s".

Response: The answer should remain as is. In the answer "D.O." is intended to be plural and not possessive.

November 1, 2004 (4:07PM)

CADocuments and Sentingal Diannal May Documental responsite Quant Apending questions, 7119

5. Disease 5-1,5-8. Query regarding reference to Disease Payment Option II.

Response: We believe we have transmitted the Option II guidelines and definitions as part of the Tab to be included. We can re-transmit.

6. Disease 5-2(6). Query indicates that the referenced question effects a change in the criteria for use of QMD statements.

Response: There is no change and nothing in disease question 5-2 indicates or effects such a change. Question 5-2(6) simply repeats the language of MDL question 137 dated Dec. 27, 1995. Nothing in the Joint Plan or in the Disease Claimant Information Guide modified in any way the MDL guidelines and standards for acceptance of medical records/documentation for Disease Option II (i.e. Long Term Benefits Schedule).

 Disease Tab 1, p. 37. Question about the indentation for lymphadenopathy and dysphagia.

Response: It appears that in the type set version, the bullets were indented incorrectly and these two findings were indented as if they fit under the heading of serologic abnormalities. In fact, they do not fit under that heading and should not be indented to that level.

S F D C T

DOW CORNING TRUST

P.O. Box 52429 Houston, Texas 77052

May 17, 2005

Telephone 713.874.6099 866.874.6099

MOTLEY RICE INC 28 BRIDGESIDE BOULEVARD P. O. BOX 1792 MOUNT PLEASANT, SC 29464 UNITED STATES OF AMERICA SID:

Redacted

Re:

Status of Disease Claim on Administrative "Hold"

Your Disease Claim requires further review before we can issue a decision regarding your eligibility for settlement benefits. Your Disease Claim will remain on hold pending further action from you and the final determination of the Settlement Facility.

The Qualified Medical Doctor (QMD), who wrote the statement or narrative report describing your overall physical condition, does not meet the standard of reliability set forth by the Settlement; therefore we cannot use this statement in the review of your disease claim.

Further Options Available:

To rectify this problem you may choose one of the following options:

- Provide office records written by physicians, other than your QMD, who have treated you for your medical condition that will provide information about your physical condition.
- 2. At your own expense, get a new examination by a QMD of your choice and submit that statement as support of your overall medical and physical condition.

The Settlement Facility, reserves the right to require an independent medical examination by a physician of the Settlement Facility's choice. If the Settlement Facility requires this option none of your previously reported symptoms or disability statements will be considered as part of the review.

When returning additional information for your Disease Claim, please use the enclosed Administrative Hold Election Form and indicate which option you are choosing.

If you have any questions regarding your claims status, please contact Claims Assistance (toll free) at 1-866-874-6099 or through electronic mail at info@sfdct.com for further information.

Sincerely,

Quality Assurance Department Settlement Facility - Dow Corning Trust

Enclosure: Administrative Hold Election Form

Administrative "Hold" Clients

- 1. 043920
- 2. 055170
- 3. 054774
- 4. 057712
- 5. 054976
- 6. 052166
- 7. 055077
- 8. 057813
- 9. 053941
- 10.043067
- 11.055671
- 12.039782
- 13.056086
- 14. 054981
- 15.046966
- 16.057709
- 17. 053944
- 18. 053939
- 19. 052796
- 20. 044974
- 21.057584
- 22. 075554

- 23. 115196
- 24. 045333
- 25. 058059
- 26. 108575
- 27. 114075
- 28. 053969
- 29.046486
- 30.058124
- 31.045477
- 32. 058172
- 33. 052413
- 34. 053960
- 35. 055787
- 36. 056173
- 37. 040683
- 38. 058302
- 39. 115193
- 40. 053495
- 41. 111616
- 42. 053943
- 43. 046672
- 44. 053262
- 45. 042245

- 46. 043792
- 47. 067118
- 48. 052852
- 49. 043395
- 50.067361
- 51.055300
- 52. 054327
- 53.064162

Deficient Claimants

- 1. 059415
- 2. 105907
- 3. 051921
- 4. 055251
- 5. 044583
- 6. 044617
- 7. 044903
- 8. 054985
- 9. 053815
- 10.052410
- 11. 114219
- 12.044639
- 13.042085
- 14. 042223
- 15. 055410
- 16. 165103
- 17. 054171
- 18. 165037
- 19. 165148
- 20. 105010
- 21. 043202
- 22. 043235

- 23. 045276
- 24. 052678
- 25. 052678
- 26. 053738
- 27. 054929
- 28. 046602
- 29. 53078
- 30. 042724
- 31.045379
- 32. 165128
- 33.067117
- 34. 114160
- 35.058172
- 36. 39524
- 37.067361
- 38.055300
- 39. 054327
- 40.064162